# Known and unknown neutrino mass-mixing properties





# **PROLOGUE:** A remarkable world map (~ 1514)...



Northern hemisphere

Southern hemisphere

## ... attributed to Leonardo da Vinci [1452-1519]

[Now at the Royal Library, Windsor Collection. Executed by one of Leonardo's assistants.]



#### ... made with octant projections (1/8's of the globe)



... made with octant projections (1/8's of the globe) ... showing the name "America" for the New World



... made with octant projections (1/8's of the globe) ... showing the name "America" for the New World ... with America's west coast disconnected from Asia



... made with octant projections (1/8's of the globe) ... showing the name "America" for the New World ... with America's west coast disconnected from Asia ... indicating a large Southern continent (a bold guess!)

# But Leonardo could not yet...



... avoid mapping distortions and biases

# But Leonardo could not yet...



... know about Australian continent (~ 90 years later)

# But Leonardo could not yet...



... fully grasp a bigger picture of the world (Copernicus, ~30 years later)

# After ~500 years...

...we are experiencing a similar situation in  $\boldsymbol{\nu}$  physics:

- being excited by a series of discoveries
- charting the newly discovered territories
- trying to avoid distortions and biases
- seeking unknown lands and a bigger picture

# After ~500 years...

...we are experiencing a similar situation in v physics:

- being excited by a series of discoveries
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...which is also the thread of this Colloquium: an interplay between known and unknown neutrino properties

## being excited by a series of discoveries

1998: Annus Mirabilis for "APC"

"C" accelerated expansion of the Universe  $\varrho_{\rm vac} \sim (2 \times 10^{-3} \ {\rm eV})^4$ 

**Evidence for dark energy** 



#### **Evidence for neutrino mass**

## Papers with \*neutrino\* in the title, 40-yr trend from iNSPIRE



#### $\rightarrow$ standard v paradigm established

# The standard 3v paradigm: parameters

Mixings matrix: CKM→ PMNS (Pontecorvo-Maki-Nakagawa-Sakata)



Mass [squared] spectrum ( $E \sim p + m^2/2E + "interaction energy"$ )



## Beautiful v oscillation data have established this 3v paradigm...



 $\mu \rightarrow \mu$  (Atmospheric)  $e \rightarrow e$ 





LBL = Long baseline (few x 100 km); SBL = short baseline (~1 km)

(a) KamLAND reactor [plot]; (b) Borexino [plot], Homestake, Super-K, SAGE, GALLEX/GNO, SNO; (c) Super-K atmosph. [plot], DeepCore, MACRO, MINOS etc.; (d) T2K (plot), NOvA, MINOS, K2K LBL accel.; (e) Daya Bay [plot], RENO, Double Chooz SBL reactor; (f) T2K [plot], MINOS, NOvA LBL accel.; (g) OPERA [plot] LBL accel., Super-K and IC-CD atmospheric.



µ→e

(LBL Accel)



 $\mu \rightarrow \tau$  (Opera, SK, DC)



#### ... and consistently measured five v mass-mixing parameters



 $\mu \rightarrow \tau$ 

FILM FILM

Each leading oscillation parameters (over)constrained by at least two classes of measurements  $\rightarrow 3v$  consistency

Subleading effects involve **CPV** and **NO vs IO** difference, essentially via  $\mu \rightarrow e$  in LBL accel. and atmospher. expts

#### Sketchy 3v picture (with 1-digit accuracy)





from sketch to full map → combine info from all v data "global analysis"



Global 3v analysis: mainly based on work in collaboration with F. Capozzi, E. Di Valentino, A. Marrone, A. Melchiorri, A. Palazzo; hep-ph 2003.08511 (PRD 101, 2020) + work in progress (2021)

## • charting the newly discovered territories $\rightarrow$

For experts: Results of 2003.08511 are here updated with oscill. data from Neutrino 2020 (SK solar, T2K, NOvA, RENO). Still working on inclusion of latest SK-IV atmos. Non-oscillation data are the same as in 2003.08511 in this Colloquium.

## Methodology

Useful to analyze oscillation data in the following sequence:

LBL Accel + Solar + KL (KamLAND) minimal set sensitive to all osc. param.:  $\delta m^2$ ,  $\Delta m^2$ ,  $\theta_{13}$ ,  $\theta_{23}$ ,  $\theta_{12}$ ,  $\delta$ , NO/IO

# LBL Accel + Solar + KL + SBL Reactor

add sensitivity to  $\Delta m^2$ ,  $\theta_{13}$  and affect other parameters via correlations

# LBL Accel + Solar + KL + SBL Reactor + Atmosph.

add sensitivity to  $\Delta m^2$ ,  $\theta_{23}$ ,  $\delta$ , NO/IO (but: entangled information in atmos.)

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## **Statistics**

Bounds/contours in terms of No around best fit:  $N\sigma = \sqrt{\Delta \chi^2} = 1, 2, 3...$ 

Undisplayed parameters are marginalized (projected) away We shall discuss first "single" parameters and then "pairs" of parameters. Single-parameter bounds would scale linearly (and symmetrically) in the limit of ~ gaussian errors around best fit values.



However, bounds for one given mass ordering move upwards, if the other mass ordering is preferred, e.g.:



**Results**→



- Upper and and lower bounds at >>3 $\sigma$  for  $\delta m^2$ ,  $\Delta m^2$ ,  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$
- Weak preference for IO at  $\sim 1\sigma$ . Note different  $\Delta m^2$  in NO/IO
- Octant degeneracy of  $\theta_{23}$  also affects  $\theta_{13}$  via correlations in  $v_{\mu} \rightarrow v_{e}$



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- Octant degeneracy of  $\theta_{23}$  also affects  $\theta_{13}$  via correlations in  $v_{\mu} \rightarrow v_{e}$
- Preference for  $\delta \sim 3\pi/2$  (CP violation) in IO, but not in NO



- Bounds on  $\theta_{13}$  and  $\Delta m^2$  strengthened
- Preference for NO at ~1.5 $\sigma$ . Note overall higher  $\Delta m^2$  in both NO and IO
- Octant degeneracy of  $\theta_{23}$  weakly broken, 2<sup>nd</sup> octant preferred at  $\sim 1\sigma$
- Preference for  $\delta \sim \pi$  (CP conservation) in NO, while  $\delta \sim 3\pi/2$  in IO



- Increased preference for NO (2.7 $\sigma$ )
- Increased preference for  $2^{nd}$  octant of  $\theta_{23}$  (1.6 $\sigma$ )
- CP phase: best fits still around  $\delta \sim \pi$  in NO and  $\delta \sim 3\pi/2$  in IO

#### Comparison among independent global neutrino oscillation data analyses



BARI:2003.08511 [updated for this Colloquium]NUFIT:2007.19742 [with  $\Delta m^2_{13}$  and  $\Delta m^2_{23}$  converted to our  $\Delta m^2$ ]VALENCIA:2006.11237v2 [with  $\Delta m^2_{13}$  and  $\Delta m^2_{23}$  converted to our  $\Delta m^2$ ]

#### **Precision** 3v cartography: Five parameters known at (few)% level

#### TABLE I: Updated for this Colloquium from Capozzi+ arXiv:2003.08511 [hep-ph]

Global  $3\nu$  analysis of oscillation data, in terms of best-fit values and allowed ranges at  $N_{\sigma} = 1, 2, 3$  for the mass-mixing parameters, in either NO or IO. The last column shows the formal " $1\sigma$  accuracy" for each parameter, defined as 1/6 of the  $3\sigma$  range, divided by the best-fit value (in percent). We recall that  $\Delta m^2 = m_3^2 - (m_1^2 + m_2^2)/2$  and  $\delta/\pi \in [0, 2]$  (cyclic).

Parameter	Ordering	Best fit	$1\sigma$ range	$2\sigma$ range	$3\sigma$ range	"1 <i>σ</i> " (%)
$\delta m^2/10^{-5} \ \mathrm{eV}^2$	NO, IO	7.36	7.21 - 7.52	7.06 - 7.71	6.93 - 7.93	2.3
$\sin^2 \theta_{12} / 10^{-1}$	NO, IO	3.03	2.90 - 3.16	2.77 - 3.30	2.63 - 3.45	4.5
$ \Delta m^2 /10^{-3} \text{ eV}^2$	NO	2.475	2.453 - 2.508	2.426 - 2.536	2.399 - 2.565	1.1
	IO	2.455	2.431 - 2.487	2.403 - 2.516	2.374 - 2.545	1.2
$\sin^2 \theta_{13} / 10^{-2}$	NO	2.23	2.15-2.28	2.08 - 2.34	2.01-2.41	3.0
	IO	2.23	2.16-2.29	2.10-2.35	2.03-2.42	2.9
$\sin^2 \theta_{23} / 10^{-1}$	NO	5.69	5.50 - 5.84	4.40-5.97	4.23 - 6.08	5.4
	IO	5.69	5.54-5.85	5.28-5.98	4.25-6.08	5.4
$\delta/\pi$	NO	1.08	0.94 - 1.20	0.82-1.45	$0-0.07 \oplus 0.65-2$	22
	IO	1.56	1.40 - 1.70	1.22 - 1.83	1.06 - 1.94	9

Most accurate parameter is  $\Delta m^2$ : "formal" uncertainty as small as  $\sim 1\%$ ! Q.: Is such accuracy "robust"? Any bias? More later.

# **Pairs of parameters**





#### $(\theta_{13}, \theta_{23})$ covariance



Anticorrelation due to leading  $v_{\mu} \rightarrow v_{e}$  term  $\sim \sin^2 \theta_{23} \sin^2 2\theta_{13}$ 

#### $(\theta_{13}, \theta_{23})$ covariance



#### $(\theta_{13}, \theta_{23})$ covariance



#### $(\theta_{23}, \pm \Delta m^2)$ covariance



LBL data: Best fit value of  $\Delta m^2$  below 2.5 x 10<sup>-3</sup> eV<sup>2</sup>. The higher is  $\Delta m^2$ , the more non-max is  $\theta_{23}$ . Note octant ambiguity.

#### $(\theta_{23}, \pm \Delta m^2)$ covariance



Reactors prefer higher  $\Delta m^2$  (>2.5 x 10<sup>-3</sup> eV<sup>2</sup>) than LBL accel. and atmos. exts. Relative difference is lower for NO and for non-maximal  $\theta_{23}$  mixing

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#### $(\theta_{12}, \delta m^2)$ covariance



After the latest SK solar neutrino data (Neutrino 2020), there is no longer "tension" between  $\delta m^2$  values of Solar vs KamLAND

[Tension might have pointed to new physics, like NSI, in solar neutrinos...]

## trying to avoid distortions and biases...

An interesting "data tension" emerges now within LBL accelerators (T2K vs NOvA) – whose differences in  $v_{\mu} \rightarrow v_{e}$  findings blur the the (previously stronger) preference for NO and for CP violation.

 $\rightarrow$  some details for experts (bi-event plots)

Speculations on a possible role of  $\mathbf{v}$  interaction uncertainties

→ general comments for all (EW nuclear physics)

#### Integrated info on v and $\overline{v}$ , stat. errors only. [Not used in fits]



→ T2K and NOVA, separately: NO preferred; CP and octant ambiguous

#### The same info can be reorganized in terms of T2K vs NOvA:





→ T2K and NOVA, jointly: IO and CPV preferred; octant ambiguous



Not yet a convincing transition from "unknown" to "known" lands...



We'll learn a lot more from current LBL accel. (T2K+NOvA) + atmos. expts (SK, IC-DC), final SBL reactor data (DYB, RENO, DC), and future experiments (DUNE, HK, T2HK, IC-lowE upgrade, KM3NeT-Orca, JUNO ...). In the meantime:

#### Q.: Is there only statistics behind the T2K/NOvA tension?



Parameter covariances and data tensions show the delicate interplay between 2 knowns [ $\Delta m^2$ ,  $\theta_{23}$ ] and 3 unknowns [NO/IO,  $\delta$ , sign ( $\theta_{23} - \pi/4$ )]

#### There is a general issue that affects all these (un)knowns: neutrino interactions in nuclei are not understood as accurately as desired!



Great effort to improve the situation through dedicated experiments (including near detectors, ND) and improved nuclear models (including tuning to the above experiments), but non-negligible uncertainties remain.

#### Neutrino-nuclear interactions and LBL accelerator experiments

Cross section uncertainties may affect:

 $\Delta m^2$  (via E<sub>rec</sub>),  $\theta_{23}$  (via spectral norm+shape),  $\delta$  (via  $v-\overline{v}$  interaction differences)



Effects reduced -but not zeroed- by tuning model(s) to ND data. Remind: No model currently explains all available Xsection data! Current 1% global-fit formal accuracy on  $\Delta m^2$  might be optimistic But... there is much more than just cross sections for HEP!



#### "Strong interaction" effects on "weak interaction" physics are ubiquitous...

Need hadron production data, e.g. pA  $\rightarrow \pi X$ , +theory models to improve estimates of atm. and acceler.  $\mathbf{v}$  fluxes and errors

Current understanding of v cross sections at O(GeV) does not match the needs of (next-generation)  $\mathbf{v}$  expts

MINOS+

RES

10

TOTAL

10<sup>2</sup>



Improved PDFs at low-x via ~forward charm production at LHCb essential to constrain prompt component in UHE v



**Progress requires joint contributions from different disciplines & communities:**  $\rightarrow$  emerging field of "Electroweak Nuclear Physics"; needs support!

# Absolute neutrino mass and Dirac/Majorana nature: The last $3\nu$ unknowns & their observables ( $m_\beta$ , $m_{\beta\beta}$ , $\Sigma$ )

 $\beta$  decay, sensitive to the "effective electron neutrino mass":

 $m_{\beta} = \left[c_{13}^2 c_{12}^2 m_1^2 + c_{13}^2 s_{12}^2 m_2^2 + s_{13}^2 m_3^2\right]^{\frac{1}{2}}$ 

**Ον**ββ **decay**: only if Majorana. "Effective Majorana mass":  $m_{\beta\beta} = \left| c_{13}^2 c_{12}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3} \right|$ 

**Cosmology**: Dominantly sensitive to sum of neutrino masses:

$$\Sigma = m_1 + m_2 + m_3$$

Note 1: These observables may provide handles to distinguish NO/IO. Note 2: Majorana case gives a new source of CPV (unconstrained) Note 2: The three observables are correlated by oscillation data $\rightarrow$ 





#### Impact of oscillations on non-oscillation parameter space



## No signal (yet), but upper limits on $m_{\beta}$ , $m_{\beta\beta}$ , $\Sigma$ (up to some syst.)



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Cosmo data constrain masses and generally put IO "under pressure"  $\rightarrow$ 

### Impact of cosmology on global oscillation fit, w.r.t. IO-NO difference

(envelope of conservative, default, aggressive case = horizontal lines)



Update of cosmological bounds with latest CMB data, and with emphasis on conservative cases wrt to possible future  $\beta$  and  $\beta\beta$  signals: in progress.

#### Far future: with precise and converging non-oscillation signals one could...



#### ... but data might well bring us beyond 3v and re-shape the field!



Lack of convergence among data (barring expt mistakes) might point towards new possibilities:

- Cosmology beyond ACDM
- New neutrino states
- New interactions
- Nonstandard v properties
- New phenomena in propagation
- ...

Main contender in current v physics: Light sterile v at O(eV) scale

(Would require another colloquium...)

# Towards the epilogue...

being excited by a series of discoveries
charting the newly discovered territories
trying to avoid distortions and biases
seeking unknown lands and a bigger picture

### **Mixings:** are they suggestive of some "simmetry"...



...or the symmetry is only in our mind, and there is just randomness?

Many interesting ideas, but still looking for an "illumination"...

```
No organizing principle
("anarchy")
Discrete family simmetries
                                            linear relations between
                                            \theta_{13}cos\delta and \theta_{12}, \theta_{23}
("geometry")
                                            links between neutrino
Continuous flavor simmetries
                                            masses/angles/phases
("dynamics")
                                            links between
Common quark/lepton features
                                            \theta_{13} and \theta_{C}
("complementarity")
```

#### **Masses:** Linking two fundamental research expeditions





**1+2** Where are the v's on this plot? Why are they so light?



## **Options**:



## **Options**:



## Neutrinos masses may offer a great opportunity to jump beyond the EW framework

via see-saw ...



- ... and to address fundamental physics issues, such as:
- new sources of CP violation at low and high energies
- lepton number violation and associated phenomena
- matter-antimatter asymmetry of the universe ...

Μ

#### M ~ GUT scale

CP-violating decays of heavy neutrinos at scale M may generate lepton asymmetry (leptogenesis): Discovery of leptonic CP violation and of Majorana nature (+ proton decay?) would be important steps towards this scenario. CP-violating decays of heavy neutrinos at scale M may generate lepton asymmetry (leptogenesis). Discovery of leptonic CP violation and of Majorana nature (+ proton decay?) would be important steps towards this scenario.

M ~ low scale

At the other end of the spectrum, low-scale (e.g. EW) see-saw may also generate (at the price of fine-tuning) additional interesting phenomenology: dark matter candidates, di-lepton and heavy lepton events in HEP CP-violating decays of heavy neutrinos at scale M may generate lepton asymmetry (leptogenesis). Discovery of leptonic CP violation and of Majorana nature (+ proton decay?) would be important steps towards this scenario.

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In principle, several sterile states might even be split among widely different energy scales, and affect various phenomena in (astro)particle physics.

Let us remain open-minded!



 $\delta m^2 \sim 8 \times 10^{-5} eV^2$  $\Delta m^2 \sim 2 \times 10^{-3} eV^2$  $\frac{\sin^2\!\theta_{12}}{\sin^2\!\theta_{23}} \sim 0.3$  $\sin^2\theta_{13} \sim 0.02$ 

3v Terra Cogníta...



# Epilogue

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3v Terra Cogníta...



 $\delta$  (CP) sign( $\Delta$ m<sup>2</sup>) octant( $\theta_{23}$ ) absolute masses Dirac/Majorana

## 3v Terra Incogníta...



# Epilogue

 $\delta m^2 \sim 8 \times 10^{-5} eV^2$  $\Delta m^2 \sim 2 \times 10^{-3} eV^2$  $\sin^2 \theta_{12} \sim 0.3$  $\sin^2\theta_{23} \sim 0.5$  $\sin^2\theta_{13} \sim 0.02$ 

3v Terra Cogníta...



 $\delta$  (CP) sign( $\Delta$ m<sup>2</sup>) octant( $\theta_{23}$ ) absolute masses Dirac/Majorana

### 3v Terra Incogníta...



new light states new interactions new heavy scales flavor structure origin of matter

## ... and beyond



# Epilogue

 $\delta m^2 \sim 7 \times 10^{-5} eV^2$  $\Delta m^2 \sim 2 \times 10^{-3} eV^2$  $\sin^2 \theta_{12} \sim 0.3$  $\sin^2\theta_{23} \sim 0.5$  $sin^2\theta_{13} \sim 0.02$ 

3v Terra Cogníta...



 $\delta$  (CP) sign( $\Delta$ m<sup>2</sup>) octant( $\theta_{23}$ ) absolute masses Dirac/Majorana

## 3v Terra Incogníta...



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## Thank you for your attention