

# Quantum Gravity Constraints on Particle Physics

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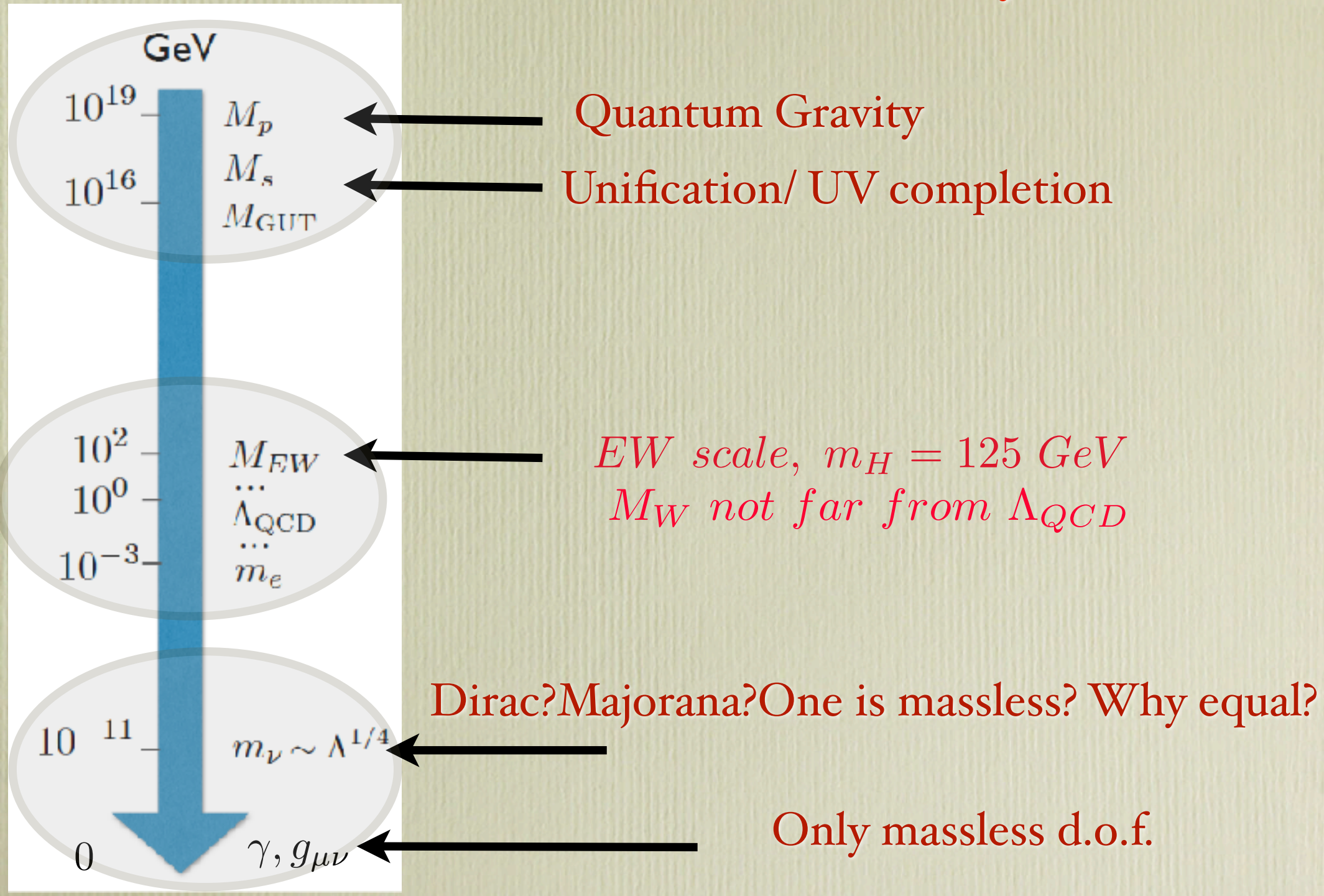


Instituto de Física Teórica UAM-CSIC, Madrid

Gravity, Cosmology and BSM  
Physics Workshop

Paris, UPMC, June 2018

# Scales in Fundamental Physics





# Pressing questions

The universe accelerates. Simplest explanation a non-vanishing constant vacuum energy  $\Lambda_{c.c.}$

**\*\* Why and how is the c.c. so small and non-vanishing??**

$$\Lambda_{c.c.} \simeq (10^{-3} eV)^4 \quad \Lambda_{c.c.} \sim m_\nu^4 ?$$

**Naturality:** One would expect something of order the cut-off

$$\Lambda_{c.c.} \simeq M_{Planck}^4 \simeq (10^{30} eV)^4$$

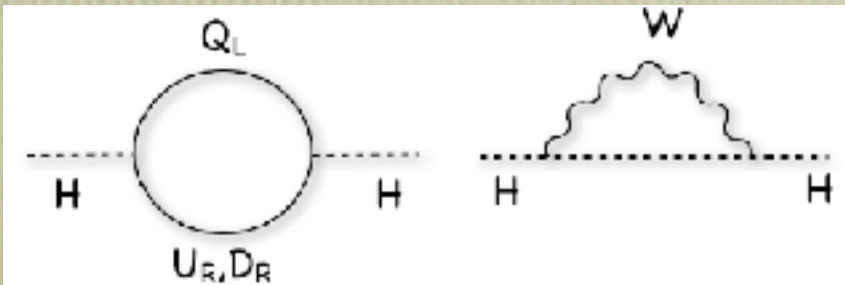


# Pressing questions

**\*\* Why and how is the EW scale so small compared to the Planck/Unification scale?**

$$M_W \ll M_p$$

**Naturality:** Fundamental scalars like the Higgs are unprotected against quantum corrections and push



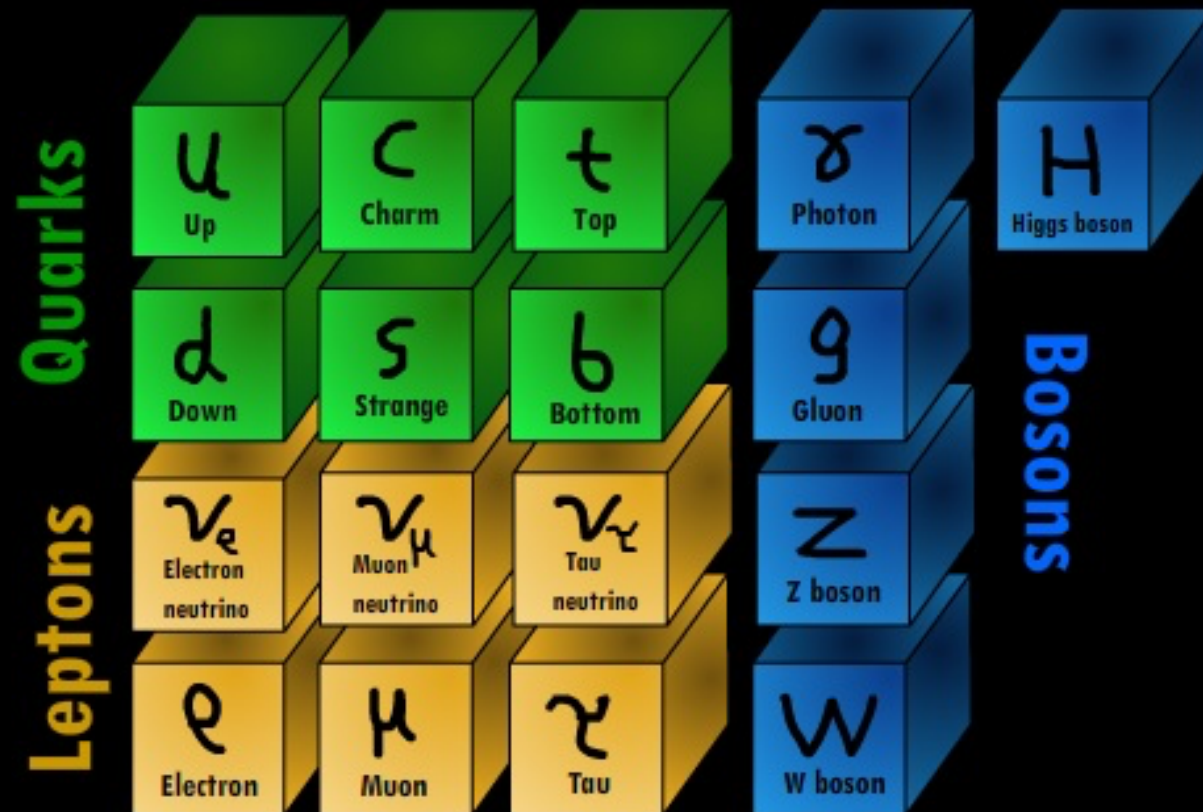
$$M_W \simeq M_p$$

# The Standard Model



# Why a Higgs exists at all?

## The Standard Model



# Why there are 3 generations?

Naturality has been at the forefront of (almost) all our attempts to understand hierarchies in the last decades

Has the naturality criterium guided us in the right direction?

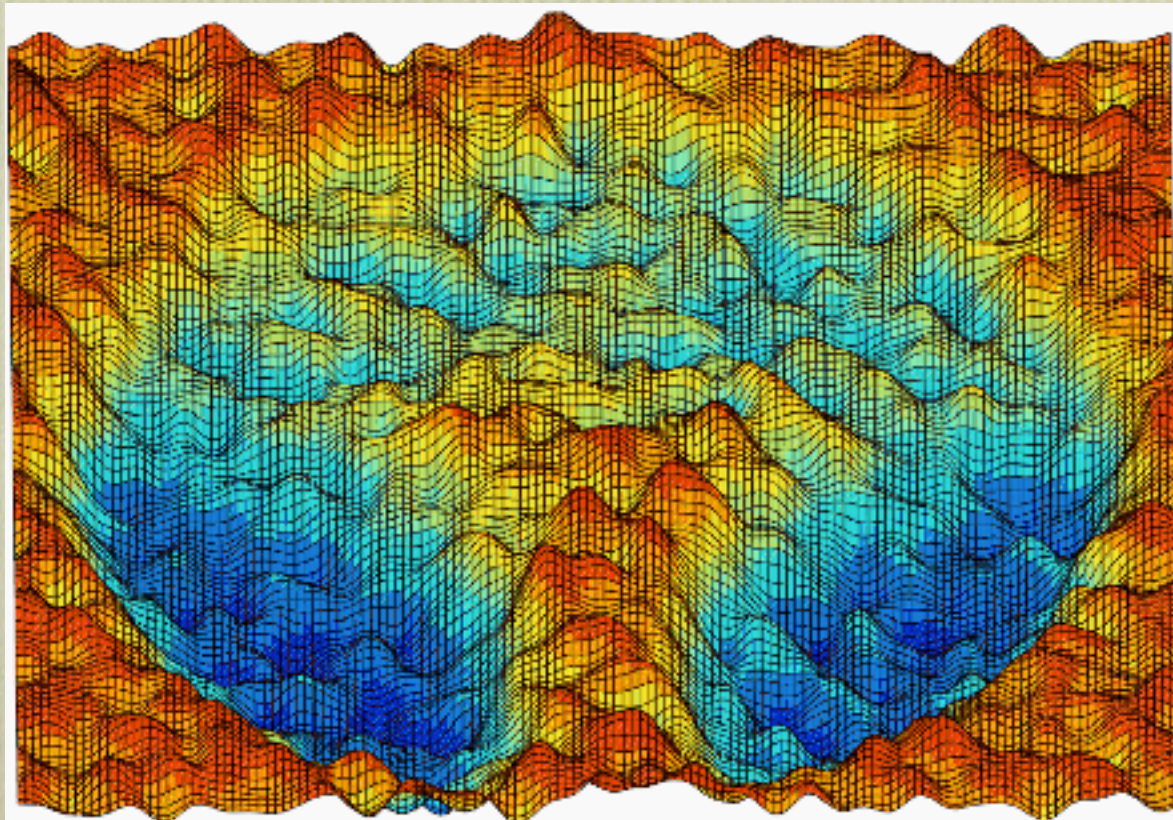
Perhaps we have to abandon some of our most cherished ideas:

- \*\* Uniqueness of solutions: the landscape
- \*\* UV-IR independence

Leading idea for the cosmological constant problem:

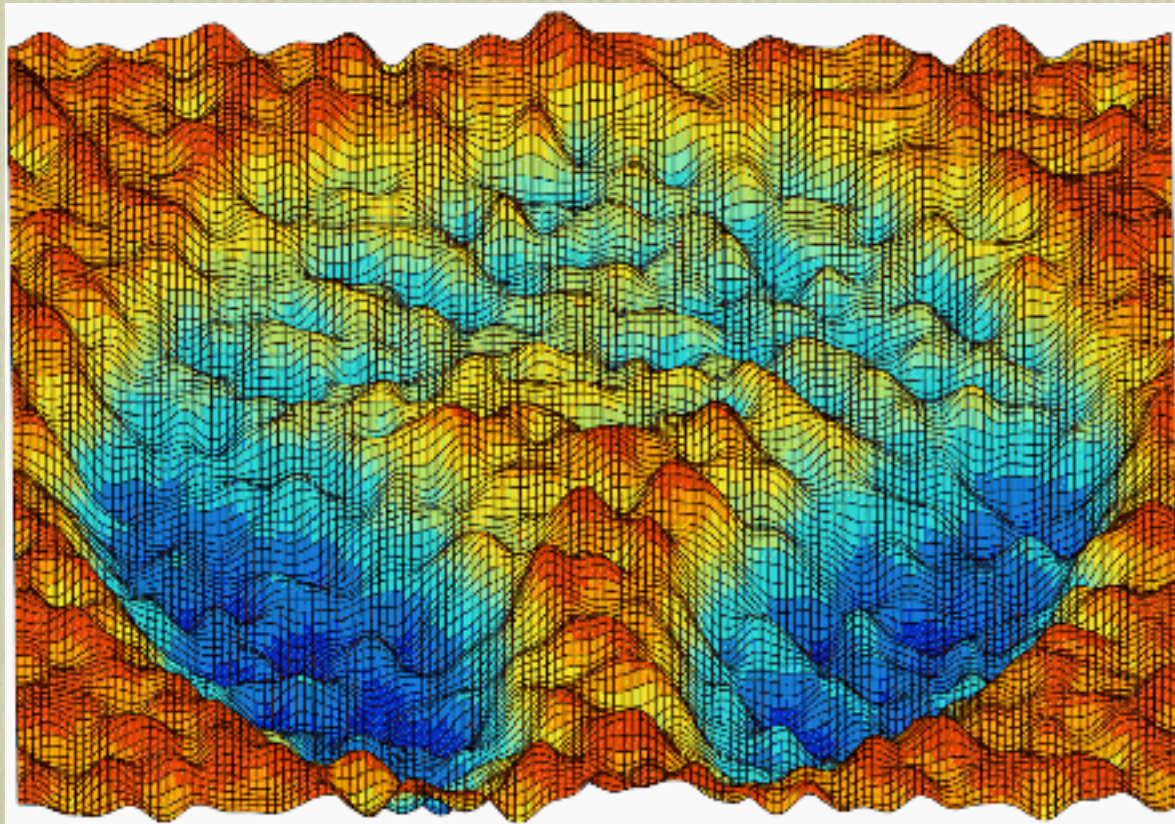
# The String Landscape

$10^{500}$  *4D String vacua estimated*



Leading idea for the cosmological constant problem:

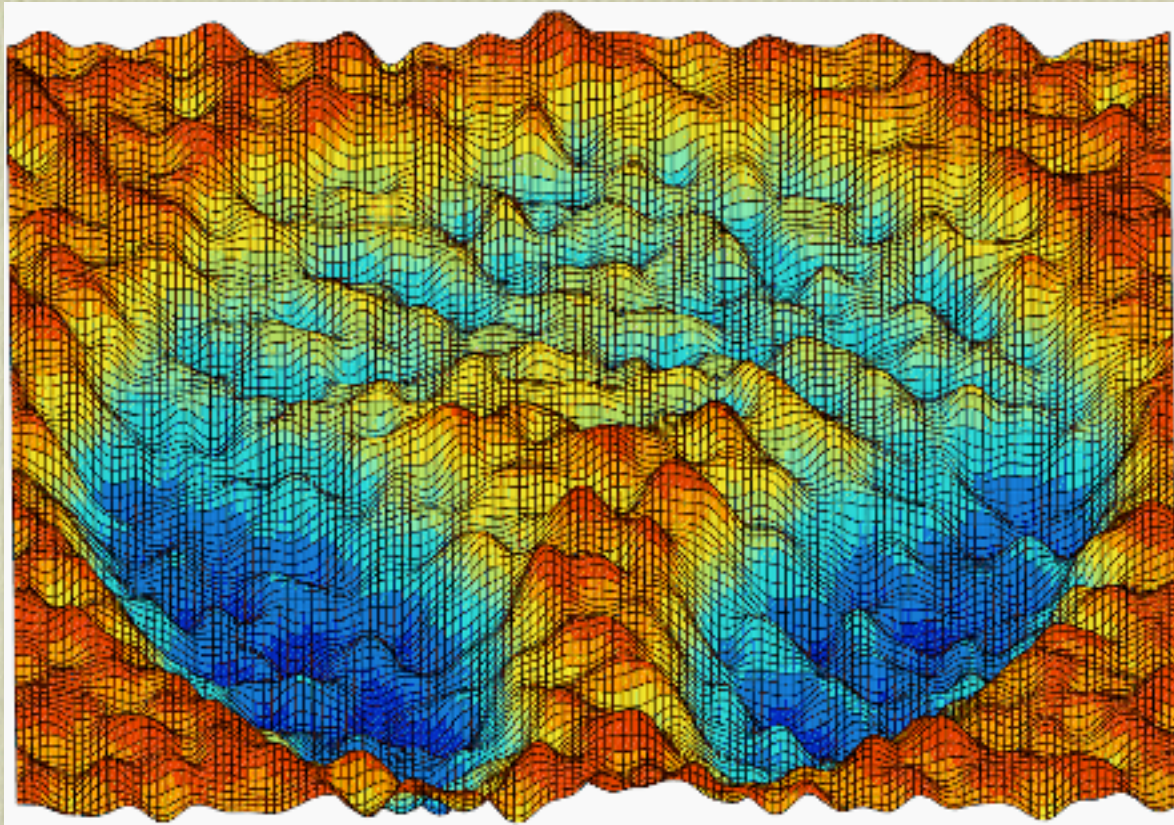
# The String Landscape



$10^{272000}$  4D  $F$  – theory vacua estimated

*Taylor, Wang 2015*

# The String Landscape



Quantized fluxes

$$V = \sum_a G_a |F_4^a|^2 - \Lambda_0$$

*Bousso, Polchinski 2000*

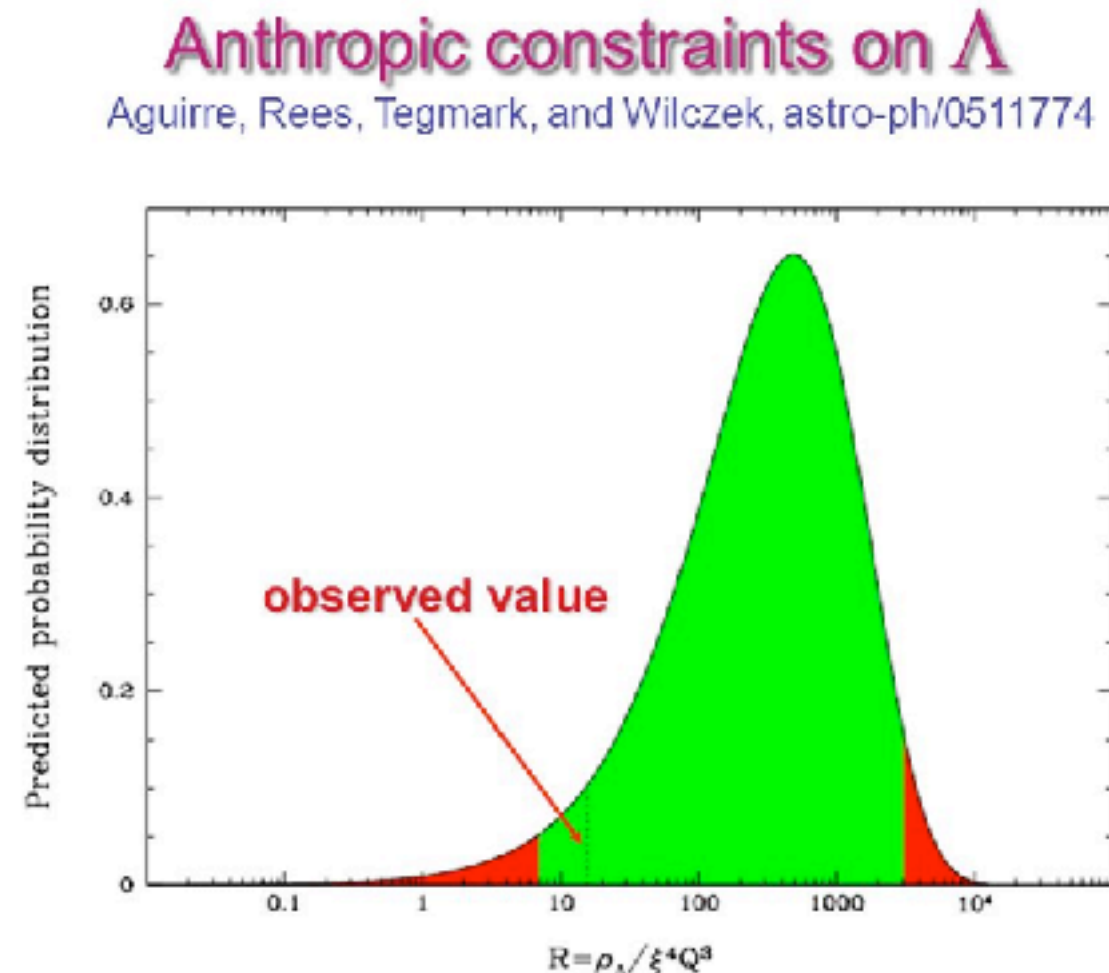
very likely vacua exist with small c.c. matching cosmological observations

Existence of this huge **landscape combined with anthropic** arguments provides for an understanding of the size of the c.c.

**Galaxy formation constraints the c.c.**



Weinberg 1987

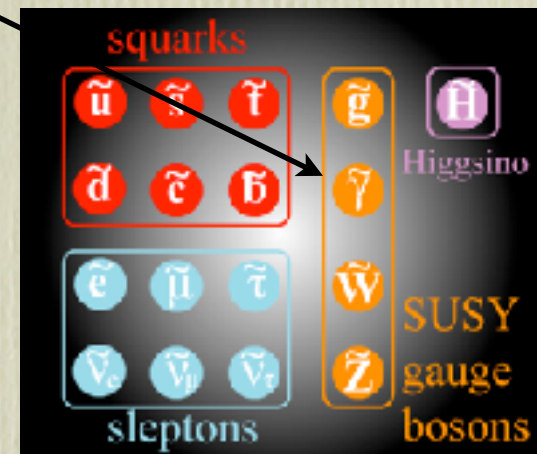
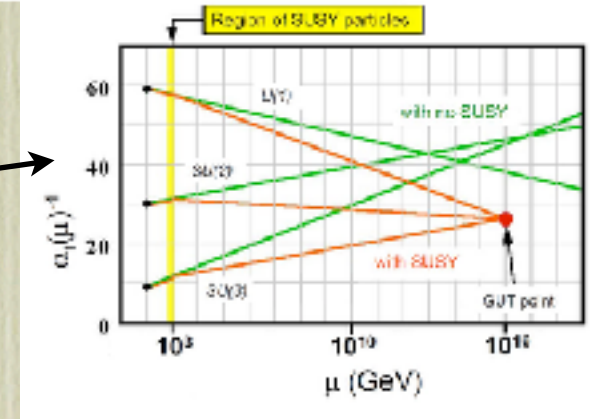
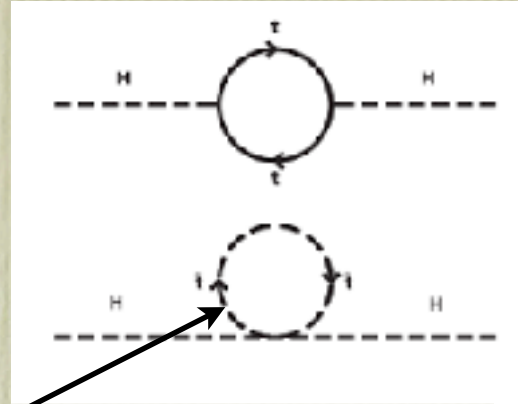


**Probability distribution of the c.c.**

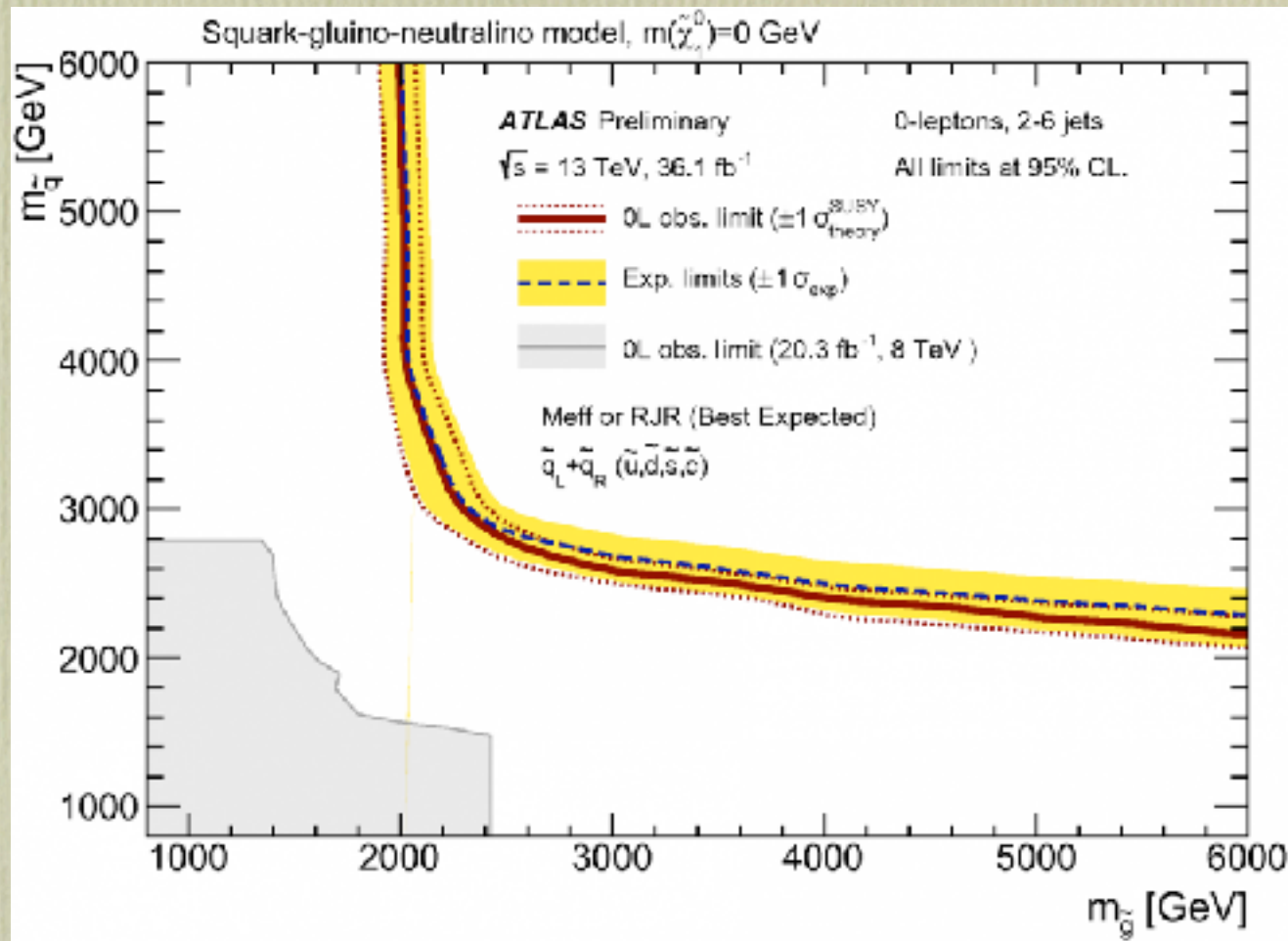
# The Electro-Weak Hierarchy Problem

## Supersymmetry:

- 1) Stabilizes the Higgs mass
- 2) Accurate gauge coupling unification
- 3) Neutralinos candidates for dark matter
- 4) Built-in in String Theory



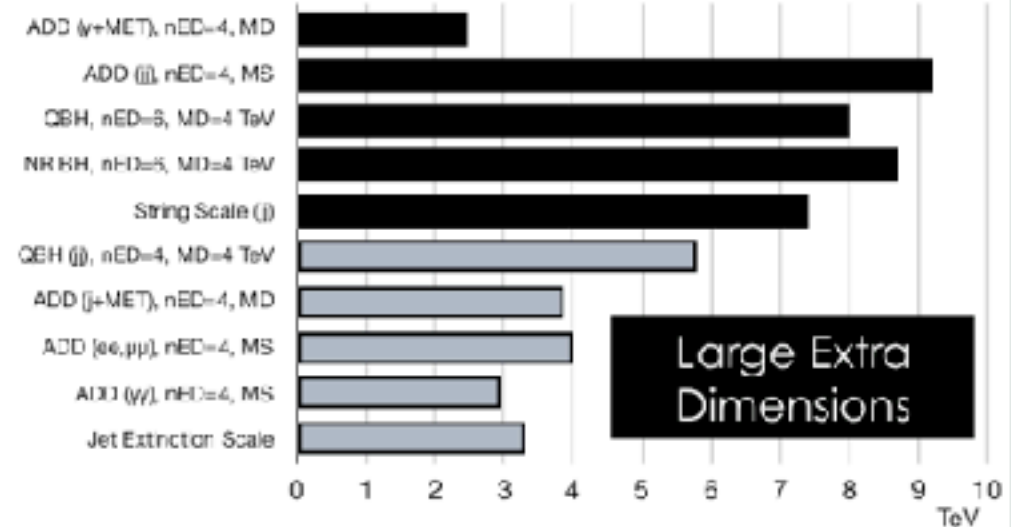
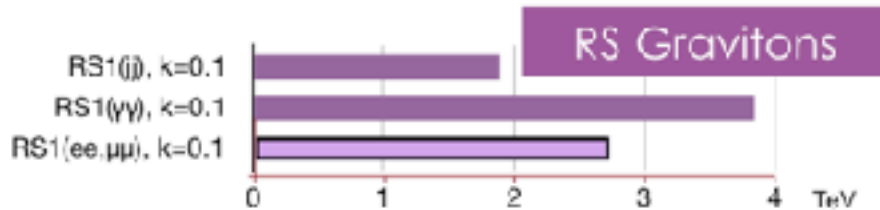
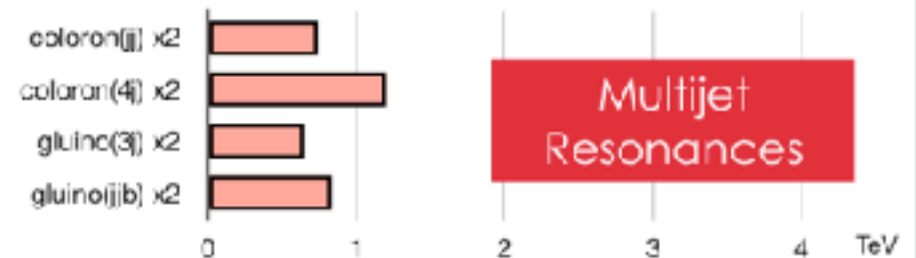
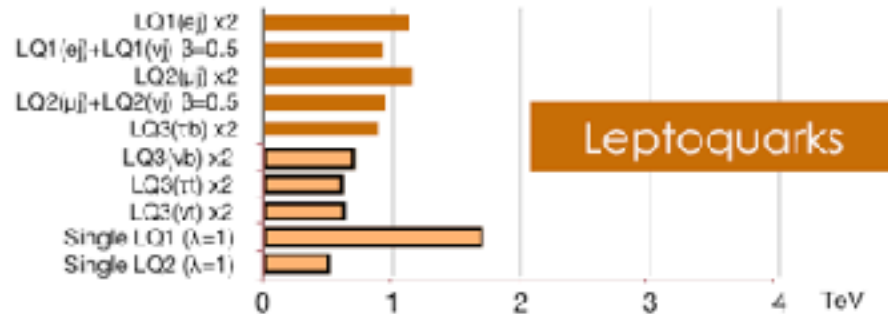
but.....no trace of SUSY so far



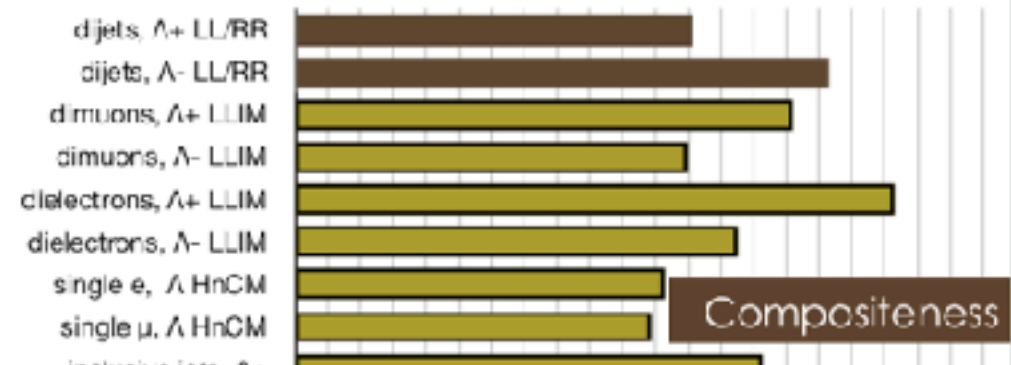
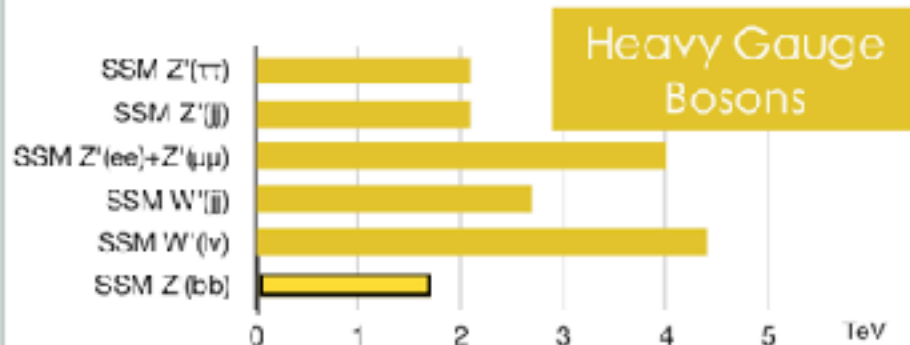
*Observed Higgs mass  $m_H = 125$  GeV suggests very heavy spectrum (if at all)*

*$FINE - TUNING \simeq 10^{-2} - 10^{-3}$*

13 TeV 8 TeV



**CMS Preliminary**



No hint either for alternative new physics

SUSY (or some other BSM physics) could  
be around the corner.

But at least some amount of fine-tuning  
seems necessary

Have to reconsider the naturality criterium?





It is time  
for new  
ideas!!



It is time

for new

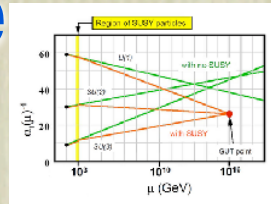
(possibly wild!!)

ideas!!

Consistency with  
Quantum Gravity  
may hold the key...

# Quantum Gravity versus Particle Physics

- We normally assume that the SM is unified with quantum gravity at the Planck scale
- Also assume that no trace of such quantum gravity embedding, other than boundary conditions, e.g. coupling unification, remains
- So we can ignore quantum gravity effects at low energies



- The tacit assumption is the belief that **any field theory** you can think of can consistently be coupled to quantum gravity.
- It has been realized in the last decade that this is **NOT TRUE**
- Most field theories cannot be consistently coupled to quantum gravity, they belong to the

**SWAMPLAND**

*C. Vafa 2005*



# The Swampland



The space of field theories which cannot be embedded into a consistent theory of quantum gravity

Swampland

QG ☹️

Landscape

QG 😊

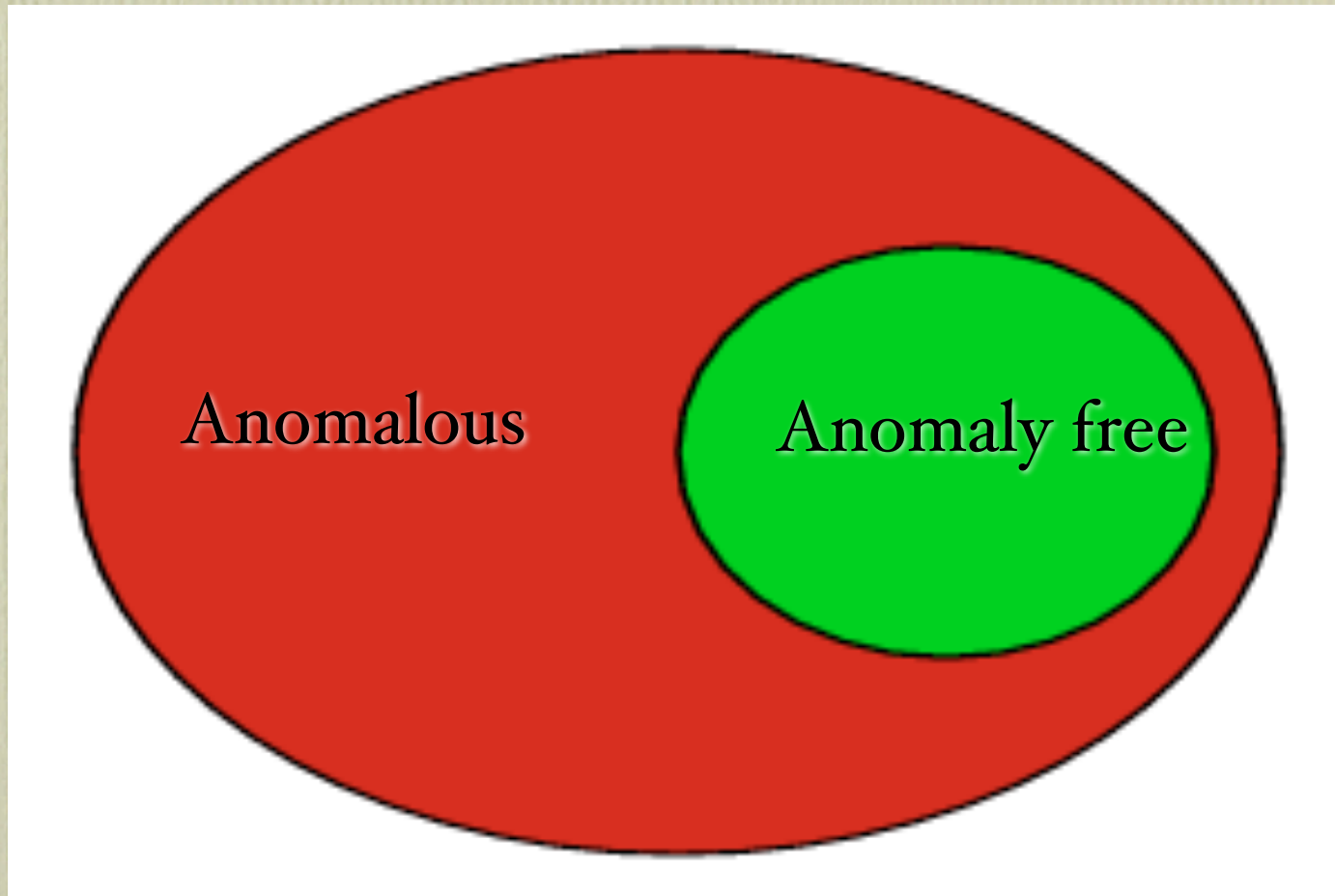
Swampland

QG ☹️

String Theory  
Landscape

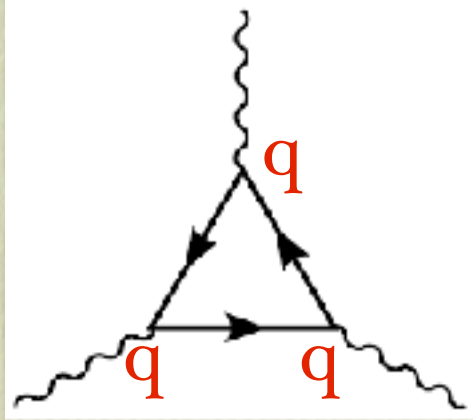
QG ☺️

# Analogy in QFT: Anomalies



## Simple example in the swampland

*A  $U(1)_X$  theory with Weyl fermions with charges*



$$\psi(q = 2) + 8 \chi(q = -1)$$

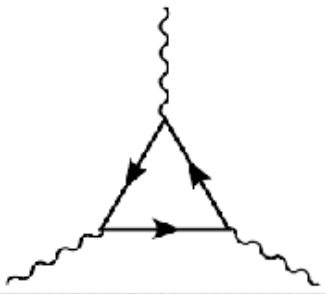
$$Anomaly = 2^3 + 8(-1)^3 = 0$$

## Simple example in the swampland

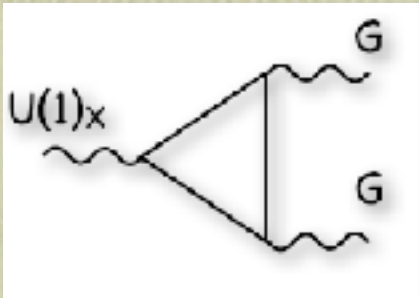
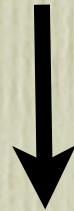
*A  $U(1)_X$  theory with Weyl fermions with charges*

$$\psi(q = 2) + 8 \chi(q = -1)$$

$$\text{Anomaly} = 2^3 - 8(-1)^3 = 0$$



BUT COUPLED TO QUANTUM GRAVITY.....

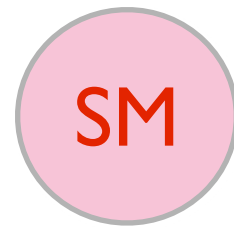


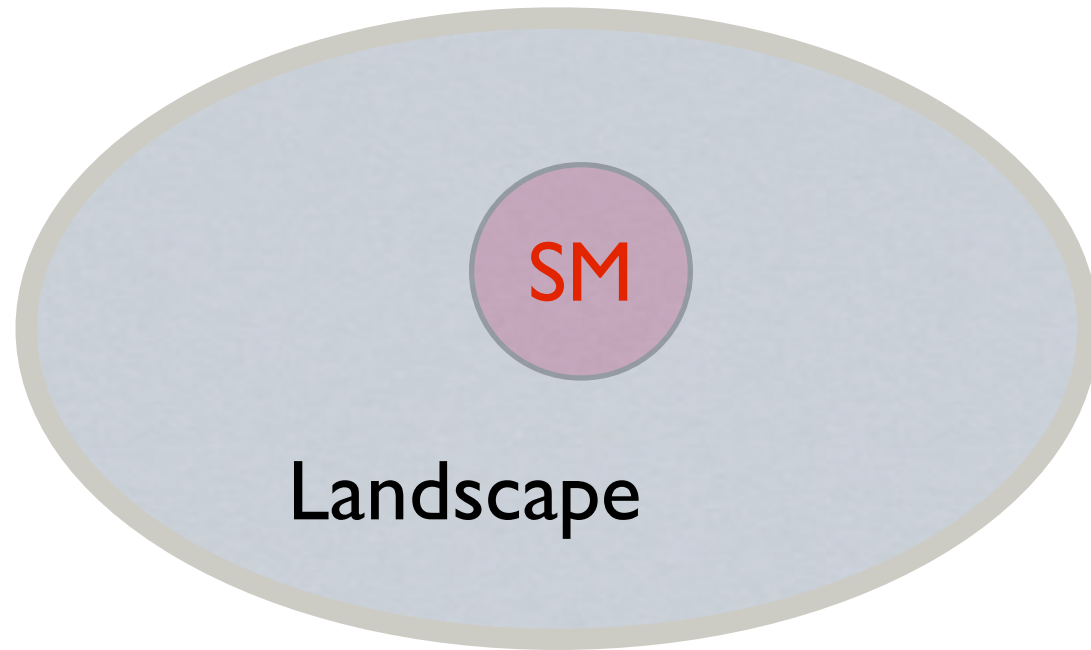
$$\text{Anomaly}(\text{grav}) = \text{Tr} Q_X = 2 - 8 \neq 0$$

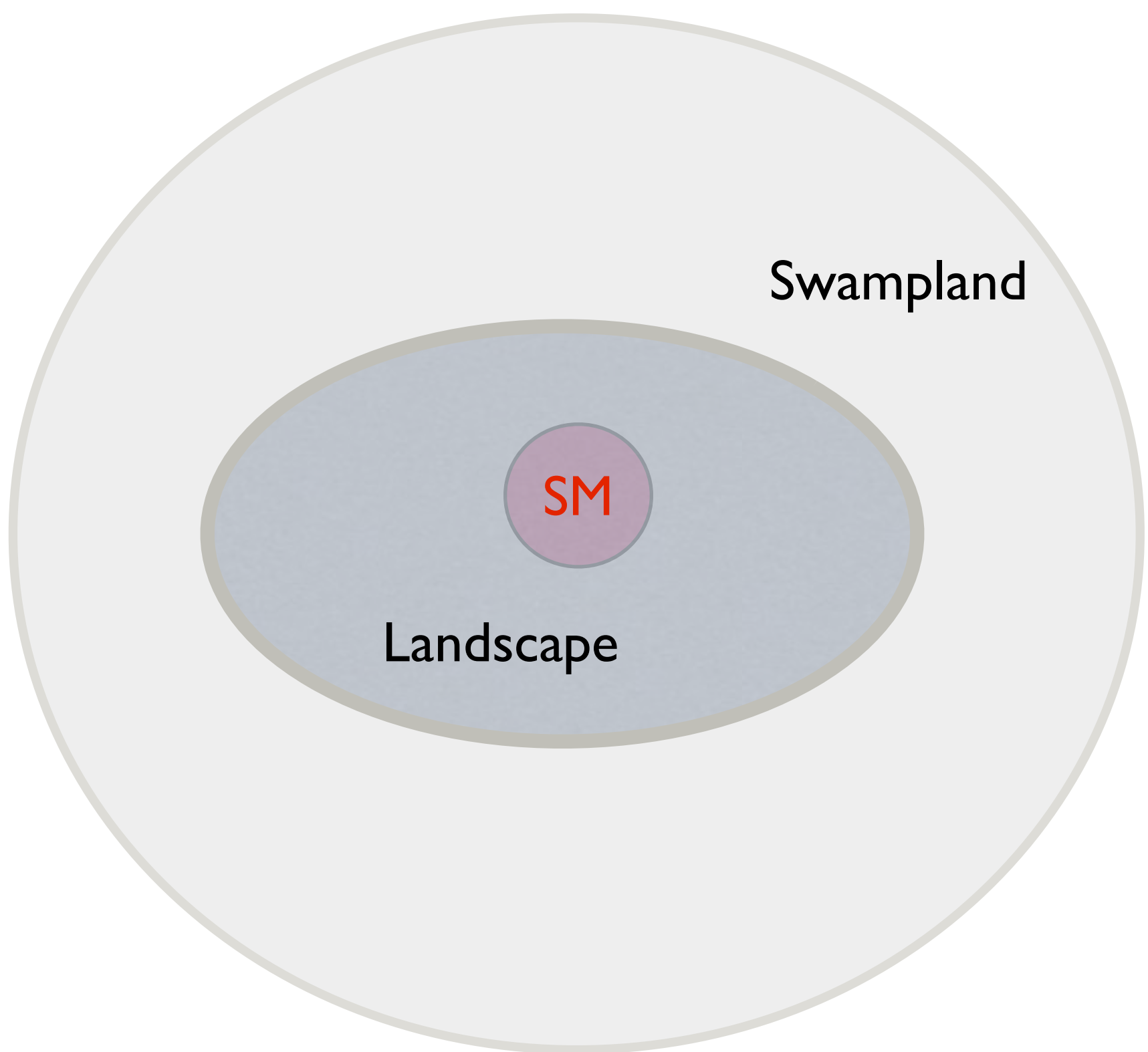
## This model is in the swampland

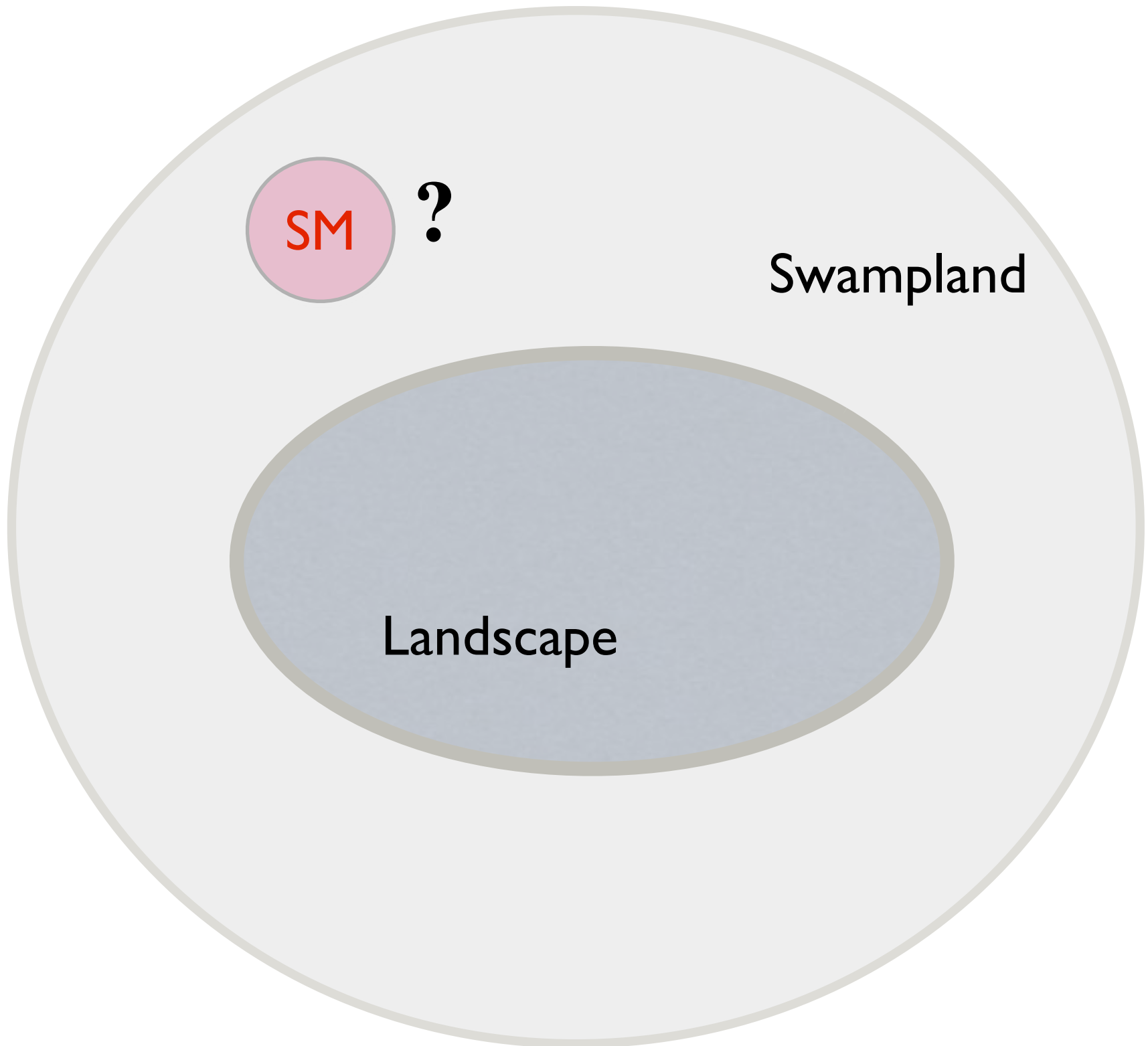
(But will **not** be the type of inconsistencies we will deal with....)

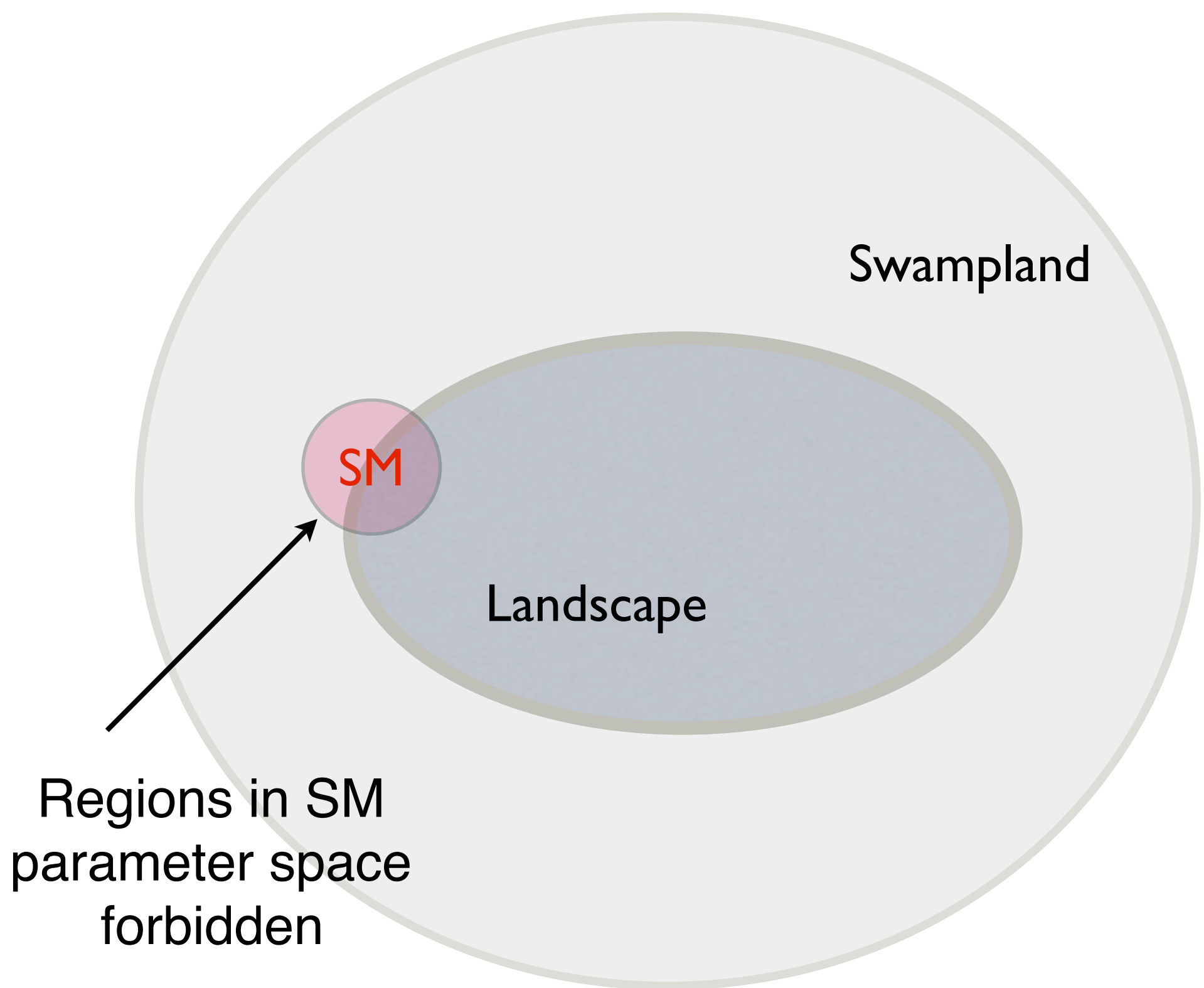
(Charge quantization in SM verifies  $\text{Tr} Y = 0$ )











# Some Swampland Criteria

- These are **conjectures**, many of them suggested by black-hole quantum physics
- No counterexample to these criteria has been found within **string theory**

Recent Review: *Brennan, Carta, Vafa* . *arXiv:1711.00864*



# Some Swampland Conjectures

## 1) There are no exact global symmetries

Motivated by black-hole physics (no-hair).

Proven in string theory

## 2) All possible charges must appear in the full spectrum

$$\frac{1}{4g^2} \int F_{\mu\nu} F^{\mu\nu} + \frac{1}{2\kappa} \int \sqrt{G} R \quad \longrightarrow \quad \text{Inconsistent !}$$

Motivated by black-hole physics. Gauge bosons imply existence of charged particles.

## 3) No free parameters in the theory

All couplings are scalar fields.

e.g N=2 pure supergravity cannot exist (has no scalars)

$$N = 2 : \quad g^{\mu\nu}, \psi_{3/2}^{\mu}, A^{\mu}$$



Most usefull:

# The Weak Gravity Conjecture

*Arkani-hamed et al. 2006*

# Gravity as the weakest force

*Arkani-hamed, Motl, Nicolis, Vafa 2006; Ooguri, Vafa 2007*

“In any UV-complete theory gravity must be the weakest force”

## WGC for a U(1)

- In any UV complete U(1) gauge theory there **must exist at least one charged particle** with mass  $M$  such that:

$$\frac{M}{M_p} \leq g$$

# Generalizes to higher rank tensors and branes in ST

$$A^\mu \longrightarrow C^{\mu\dots\rho} \ ; \ M, \text{ mass} \longrightarrow T, \text{ tension}$$

$$\frac{T}{M_p} \leq g$$

( $g$  dimensionful)

Ooguri and Vafa 2016: *arXiv:1610.01533*

The equality is only achieved  
for SUSY BPS states

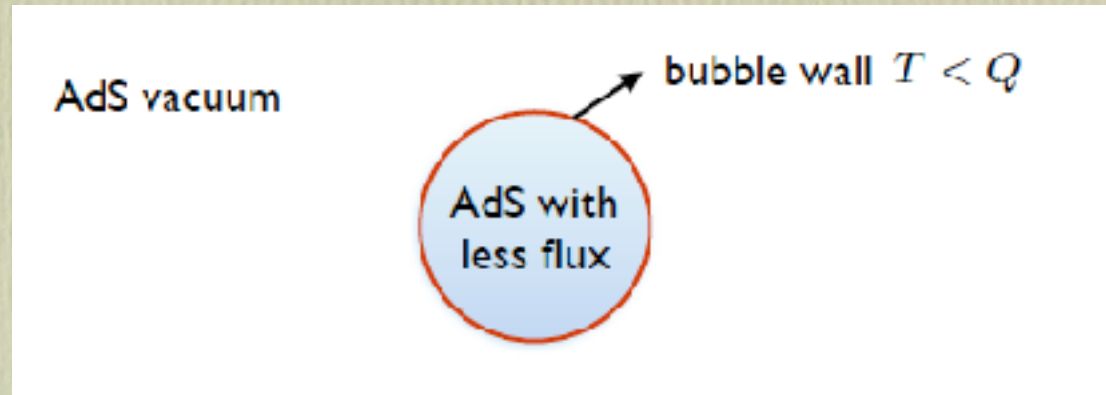
$$\frac{T}{M_p} < g$$

for non-SUSY

Strong  
Corollarium !!

(also Banks 2016, Freivogel, Kleban 2016)

# Decay of AdS flux vacua may occur through membrane (bubble) nucleation

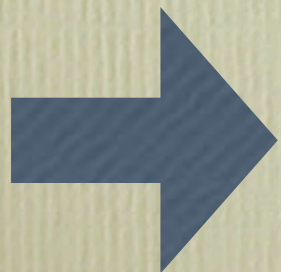


In non-SUSY AdS membranes with  $T < Q$  necessarily nucleate instantaneously

*Maldacena, Michelson, Strominger 1998*

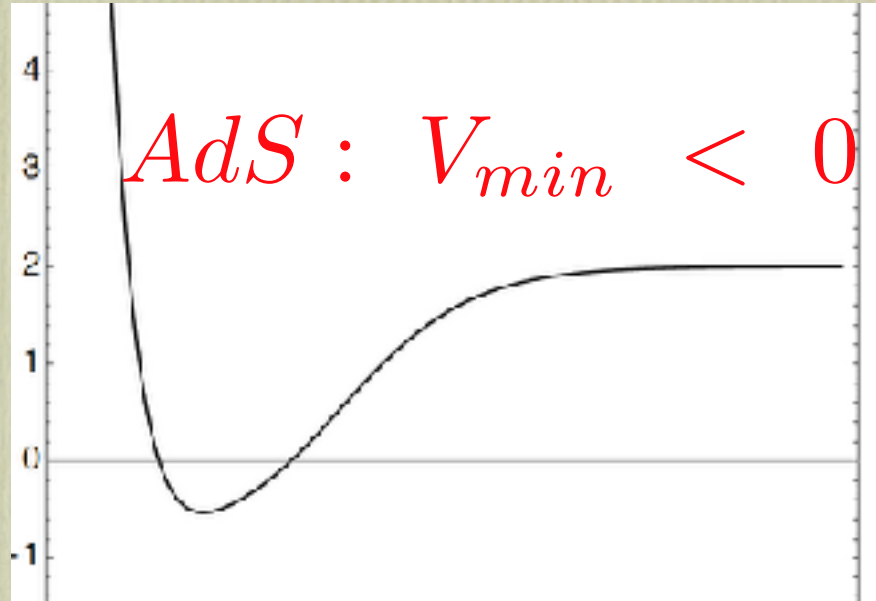
## Non-SUSY AdS flux vacua are unstable

This makes such theories not to have a holographic dual:



## No AdS/CFT dual: not consistent with quantum gravity

# AdS Phobia Conjecture:

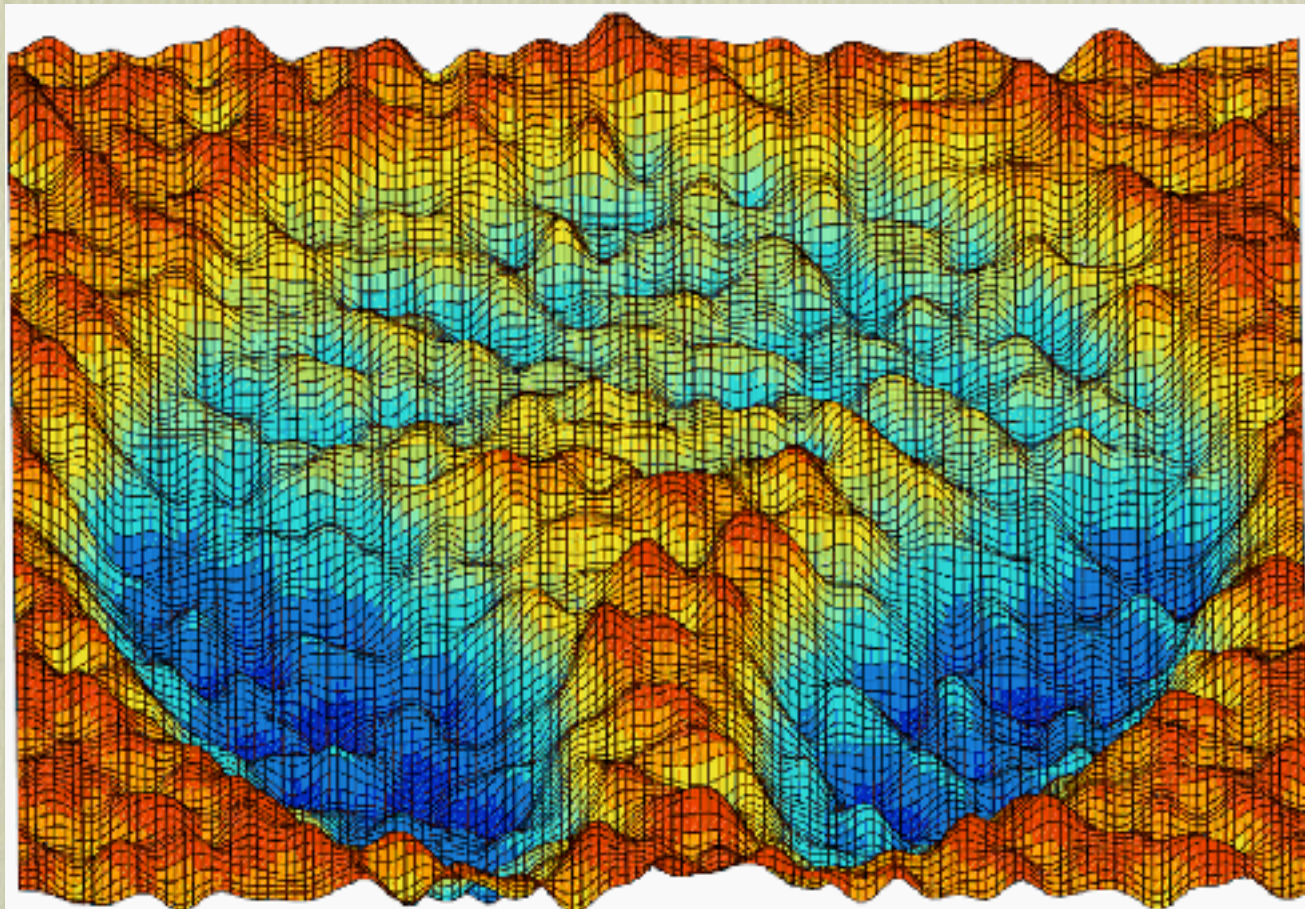


There cannot be stable non-SUSY  
AdS vacua in quantum gravity

(Not only for string flux vacua)

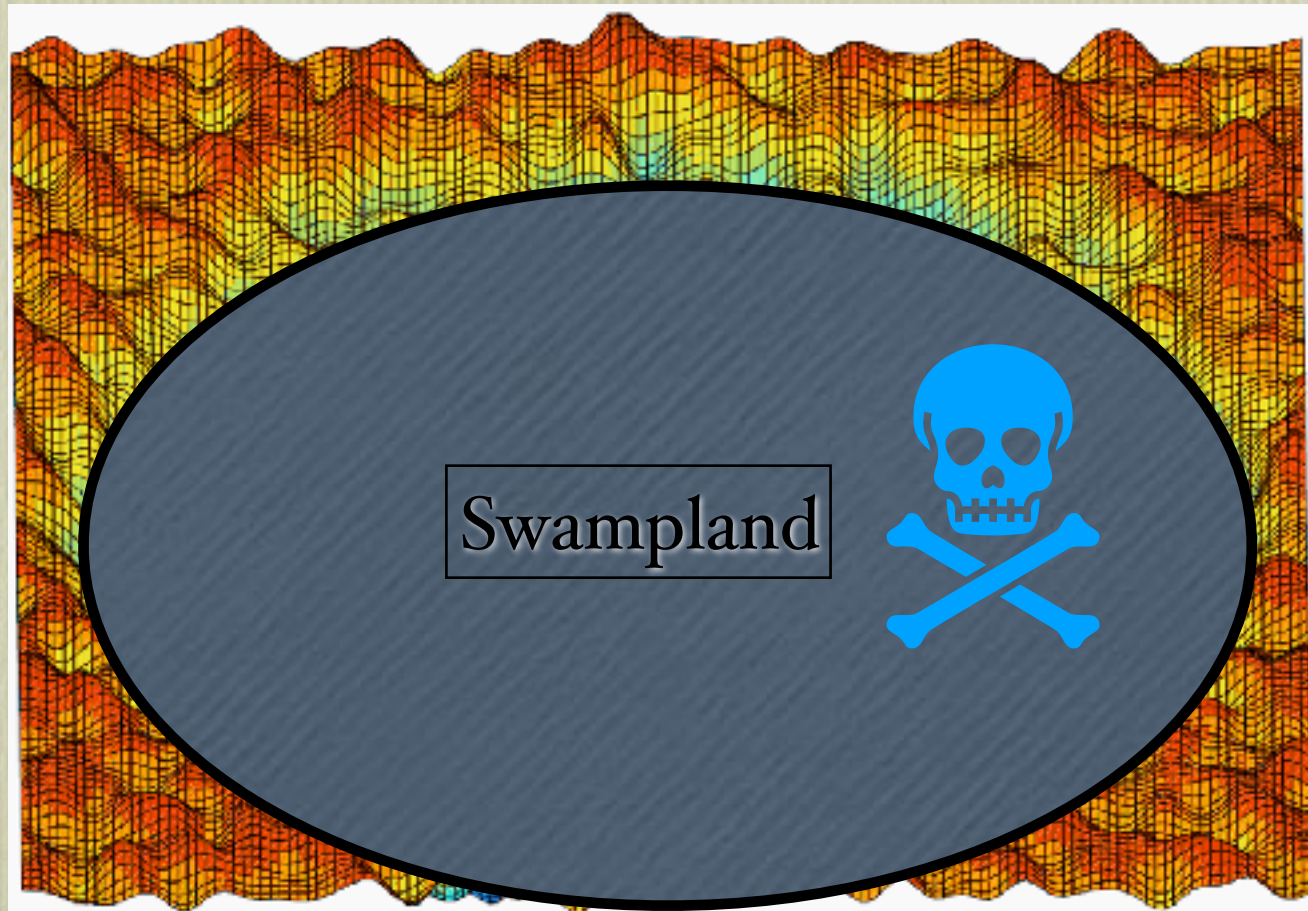
(If you find one in your theory, then it is  
inconsistent with quantum gravity)

General non-SUSY AdS stable vacua  
are in the swampland



# General non-SUSY AdS stable vacua are in the swampland

(independently whether is a flux vacuum or not)



Landscape only Minkowski and de Sitter !!  
(...or SUSY AdS)

# AdS phobia and the SM

If we have a consistent theory, it is consistent in any background:

If SM consistent, any compactification should be consistent



The SM should not have any AdS (stable) lower dimensional vacua

# The Standard Model Landscape in lower dimensions

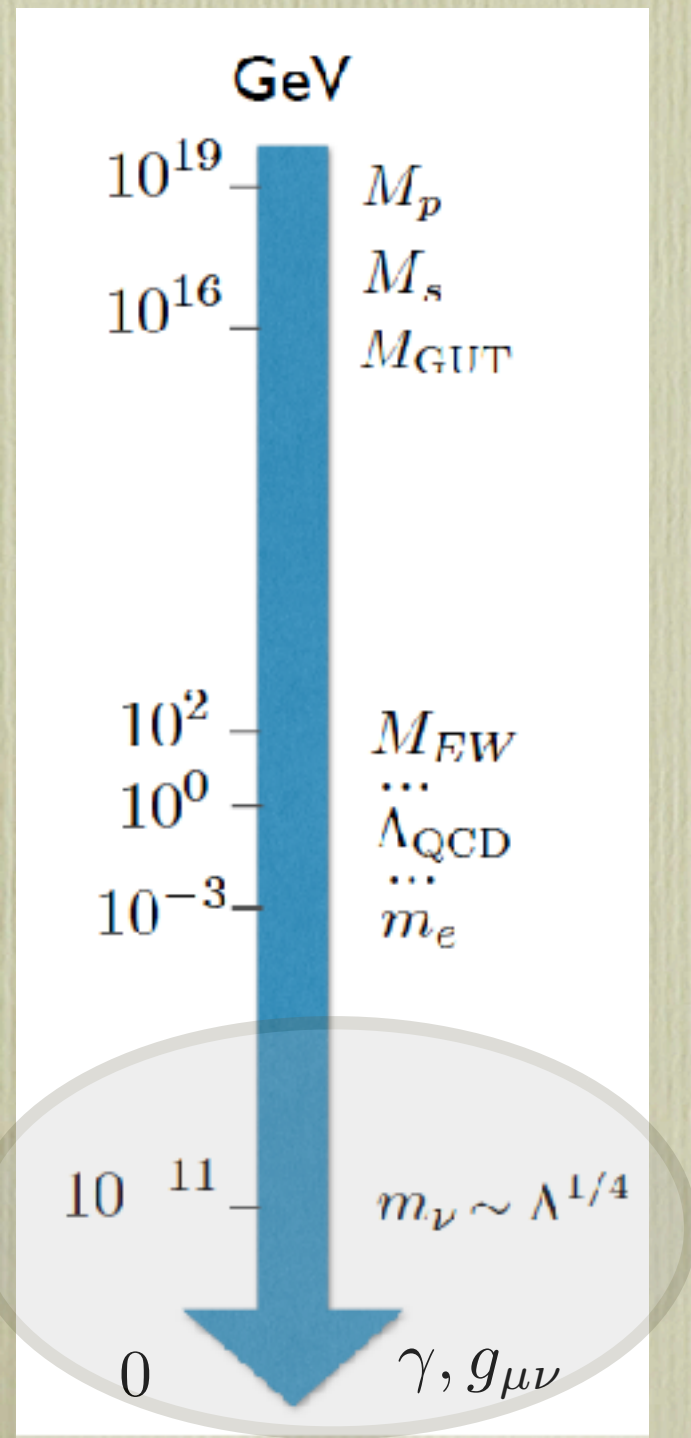
There is a SM landscape of vacua  
(even without any string theory arguments)

*Arkani-Hamed, Dubovsky, Nicolis, Villadoro 2007: hep-th:0703067:*

*Arnold, Fornal, Wise 2010: hep-th:1010.4302:*

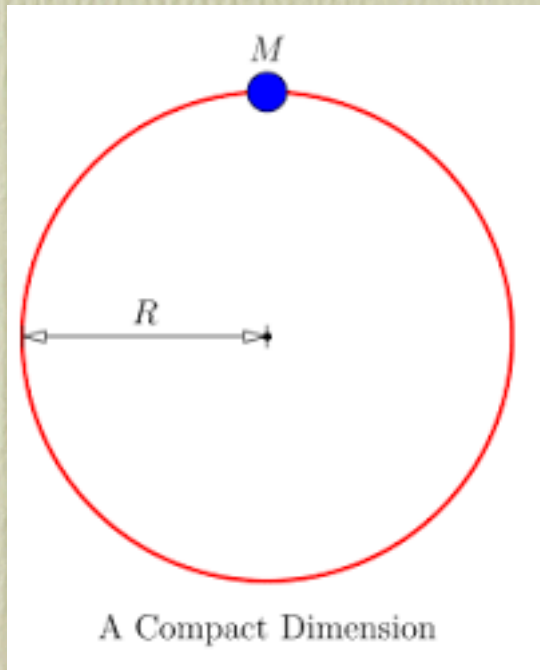
We will see AdS phobia puts constraints  
on neutrino masses, the c.c., the EW  
hierarchy and more

# Scales in Fundamental Physics



Will focus first in lightest SM sector

# SM compactified to 3D on a circle



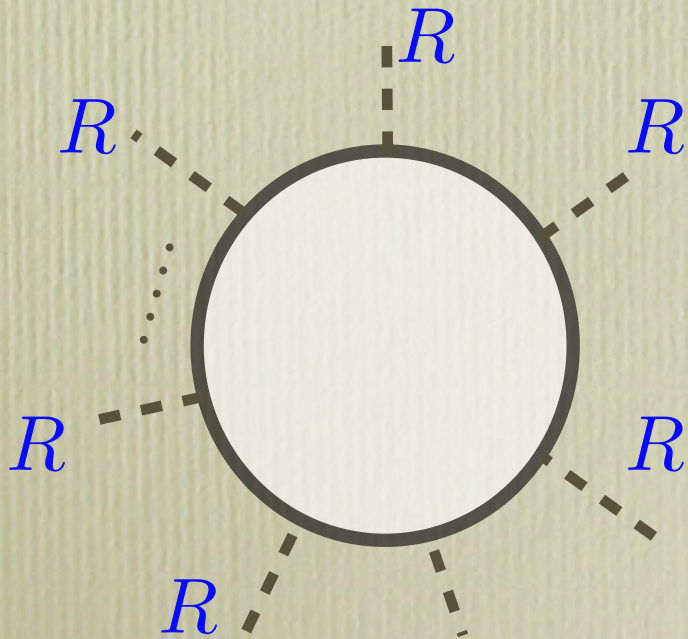
*Radius  $R$  is a massless scalar field*

For  $R \gg 1/m_e$   
only  $\gamma, g^{\mu\nu}, \nu_i$  relevant

$$V_{boson} \sim -\frac{1}{R^6}$$

$$V_{fermion} \sim \frac{1}{R^6}$$

One-loop Casimir potential  
(massless fields)



# *The SM + gravity on a circle $S^1$*

*Consider the lightest sector :  $\gamma, g_{\mu\nu}, \nu_{1,2,3}$*

*The radius potential :*

*One – loop Casimir energy*

$$V(R) \simeq \frac{2\pi r^3 \Lambda_4}{R^2} - 4 \left( \frac{r^3}{720\pi R^6} \right) + \sum_i (2\pi R) (-1)^{s_i} n_i \rho_i(R)$$

*From 4D c.c.*

*$\gamma, g_{\mu\nu}$*

*$\nu_i$*

$$\rho(R) = \mp \sum_{n=1}^{\infty} \frac{2m^4}{(2\pi)^2} \frac{K_2(2\pi Rmn)}{(2\pi Rmn)^2}$$

*$\nu_i$  with periodic b.c. contributes positively!!*

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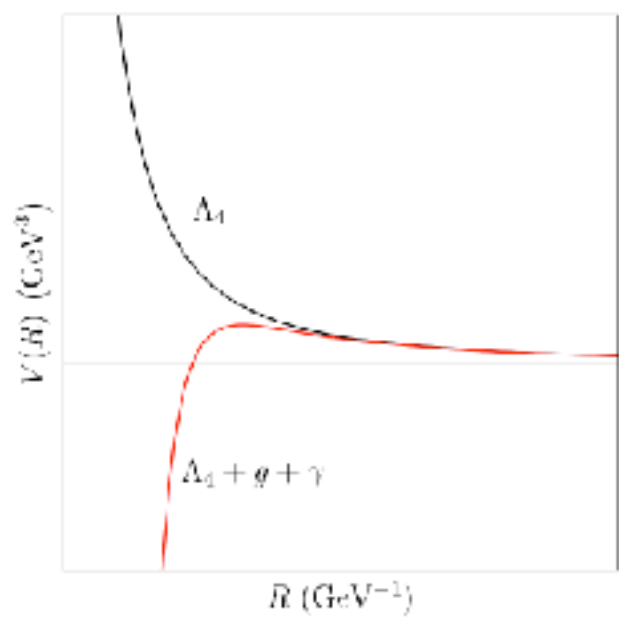
*$\gamma, g_{\mu\nu}$*

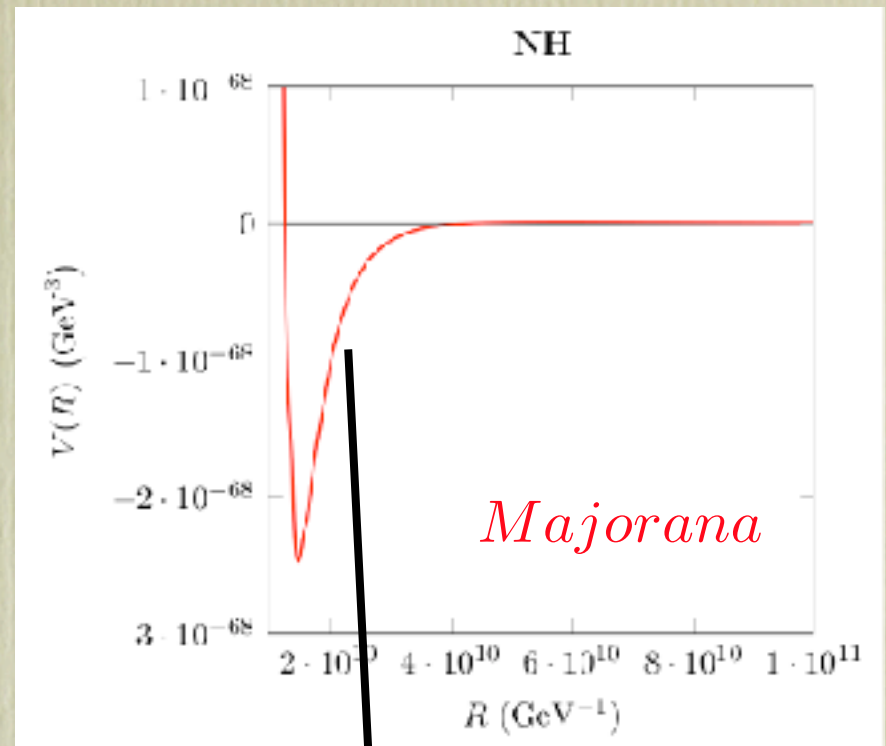
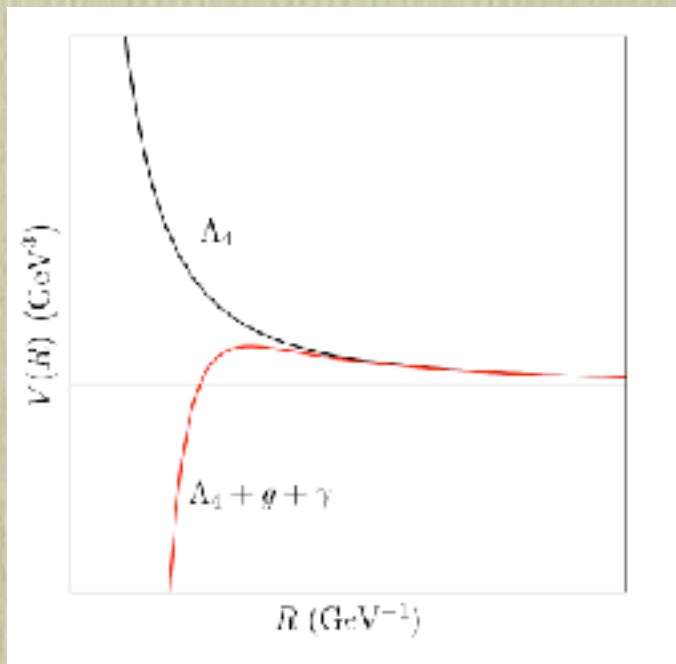
*$\nu_i$*

$$\rho(R) = \mp \sum_{n=1}^{\infty} \frac{2m^4}{(2\pi)^2} \frac{K_2(2\pi Rmn)}{(2\pi Rmn)^2}$$

*$\nu_i$  with periodic b.c. contributes positively!!*

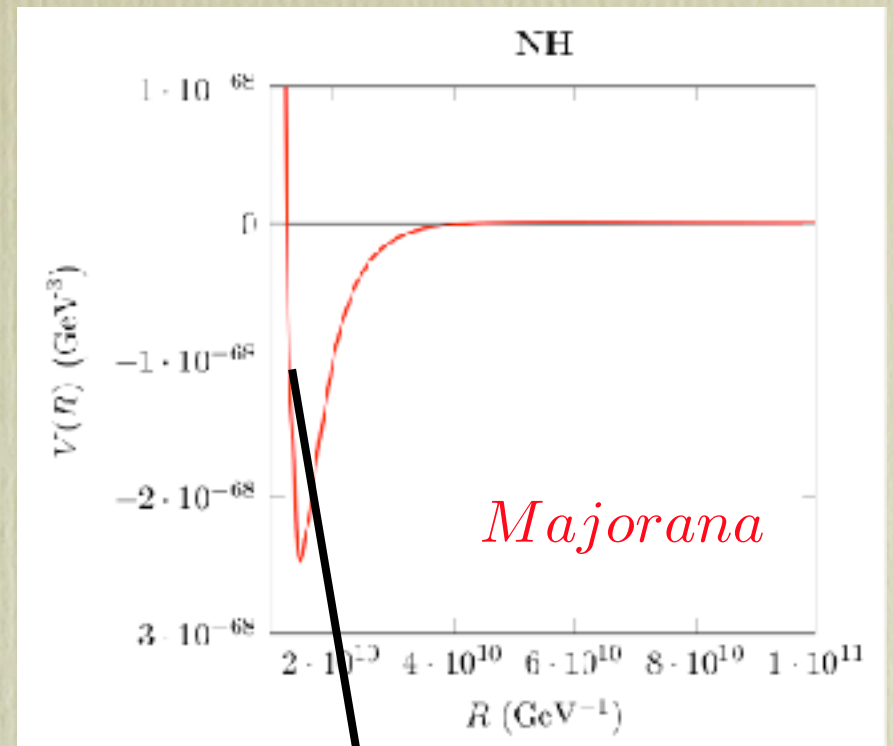
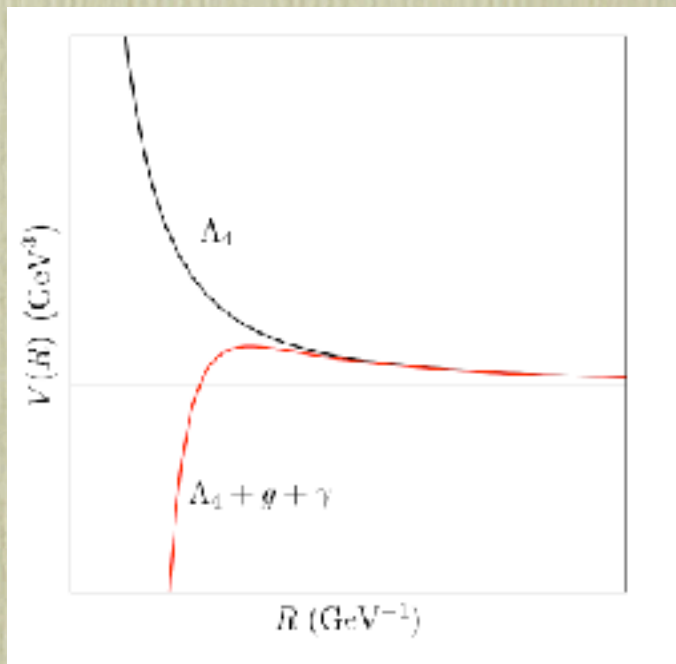
Important: Effect of heavier particles suppressed like  $e^{-(m_f/m_\nu)}$





$$(-2 - 2 + 2) \left( \frac{r^3}{720\pi R^6} \right)$$

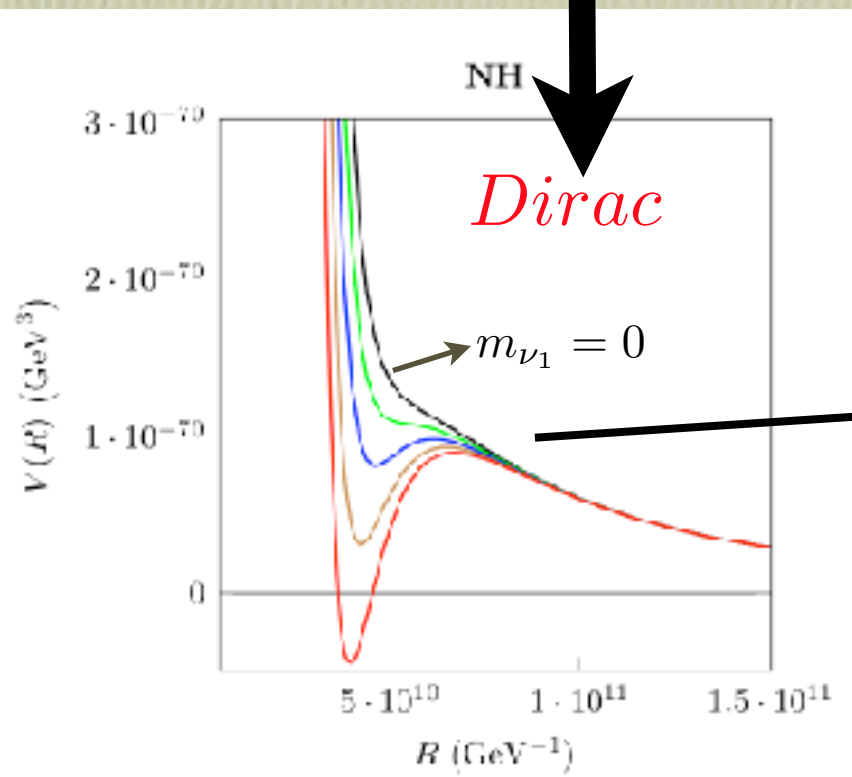
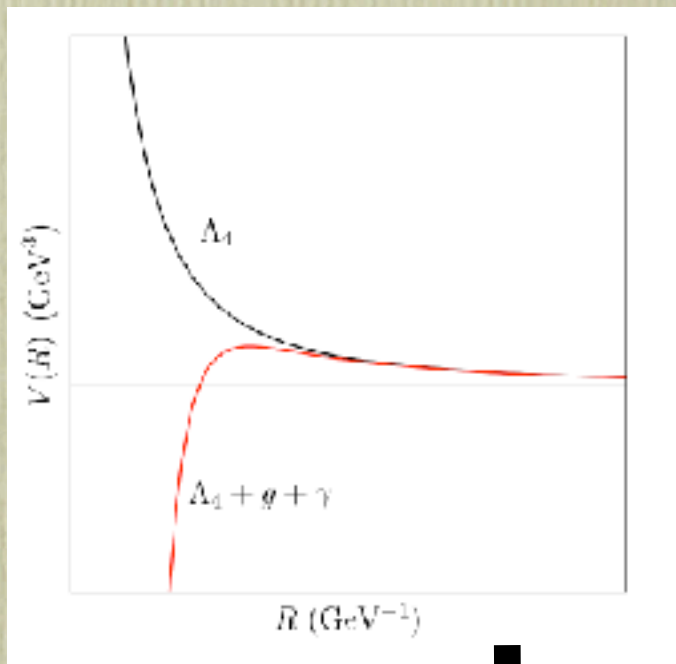
$\gamma$                        $g_{\mu\nu}$                        $\nu_1^M$



$$(-2 - 2 + 6) \left( \frac{r^3}{720\pi R^6} \right)$$

$\gamma$        $g_{\mu\nu}$        $\nu_{1,2,3}^M$

*Majorana  $\nu_1$  forbidden!!*

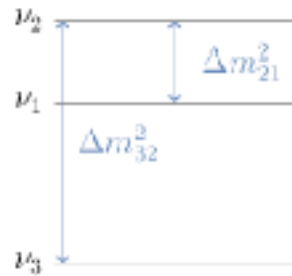
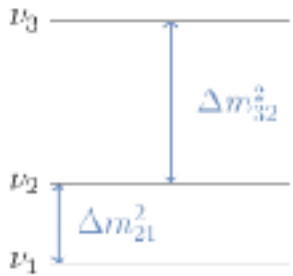


$$(-2 - 2 + 4) \left( \frac{r^3}{720\pi R^6} \right)$$

Annotations for the terms in the equation:

- $\gamma$  points to the first term  $-2$ .
- $g_{\mu\nu}$  points to the second term  $-2$ .
- $\nu_1^D$  points to the third term  $+4$ .

# Constraints on neutrino masses



$$\begin{aligned}\Delta m_{21}^2 &= (7.53 \pm 0.18) \times 10^{-5} \text{ eV}^2, \\ \Delta m_{32}^2 &= (2.44 \pm 0.06) \times 10^{-3} \text{ eV}^2 \text{ (NH)}, \\ \Delta m_{32}^2 &= (2.51 \pm 0.06) \times 10^{-3} \text{ eV}^2 \text{ (IH)}.\end{aligned}$$

Majorana: ruled out!!

*There is always an AdS vacuum for any  $m_{\nu_1}$*

Dirac:

	NH	IH
No vacuum	$m_{\nu_1} < 6.7 \text{ meV}$	$m_{\nu_3} < 2.1 \text{ meV}$
dS <sub>3</sub> vacuum	$6.7 \text{ meV} < m_{\nu_1} < 7.7 \text{ meV}$	$2.1 \text{ meV} < m_{\nu_3} < 2.56 \text{ meV}$
AdS <sub>3</sub> vacuum	$m_{\nu_1} > 7.7 \text{ meV}$	$m_{\nu_3} > 2.56 \text{ meV}$

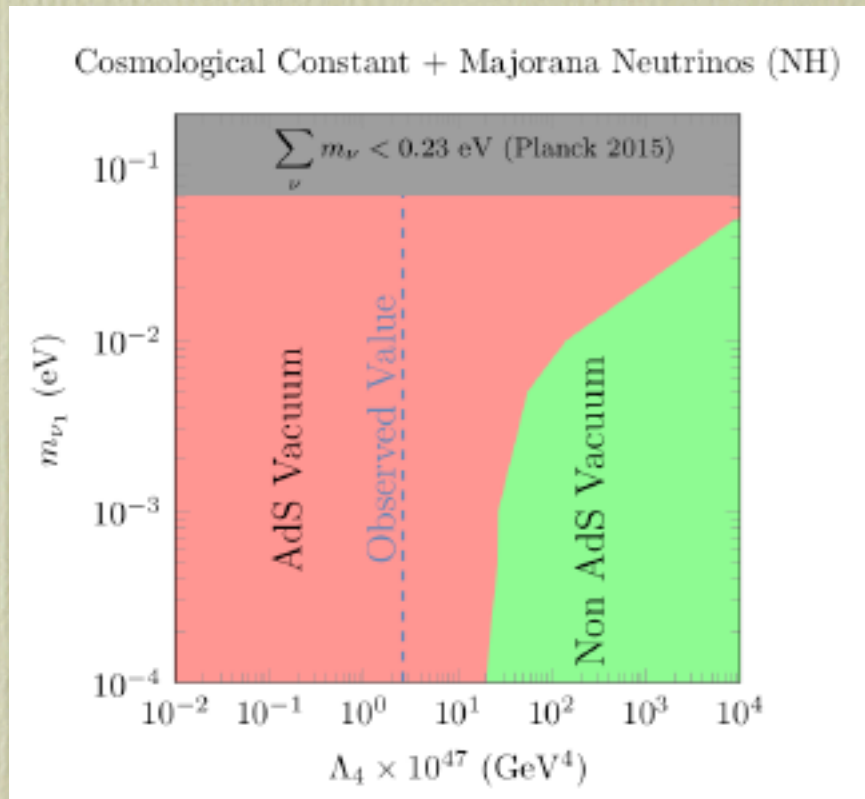
$$m_{\nu_1} < 7.7 \text{ meV (NH)}$$

$$m_{\nu_1} < 2.1 \text{ meV (IH)}$$

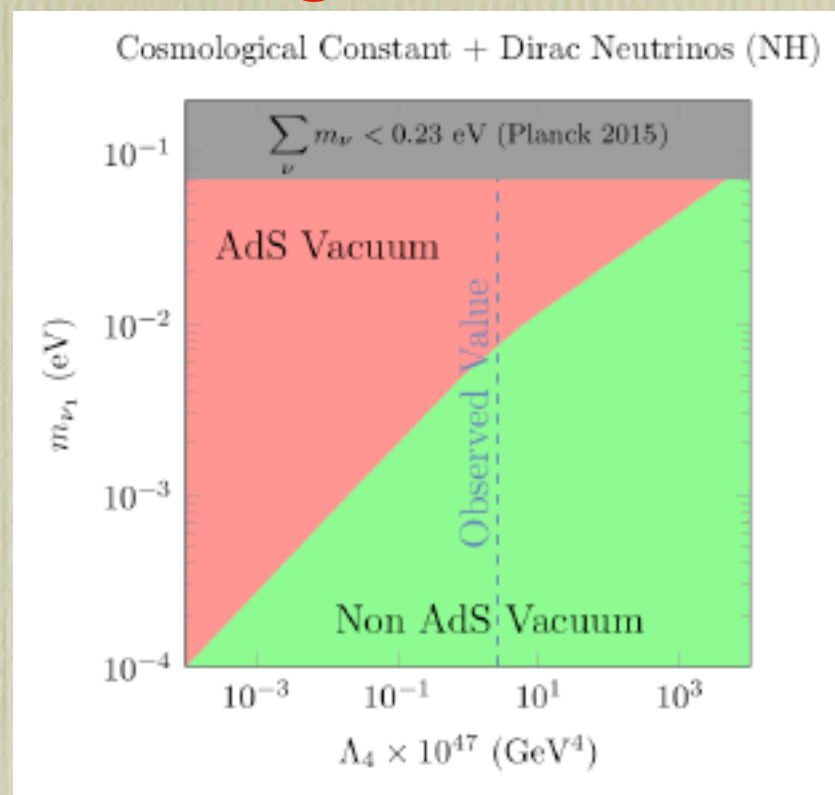
Alternative to see-saw!!

(lightest neutrino)

# Lower bound on the cosmological constant



Majorana



Dirac

To avoid AdS

$$\Lambda_4 \geq \frac{a(n_f)30(\sum m_i^2)^2 - b(n_f, m_i)\sum m_i^4}{384\pi^2}$$

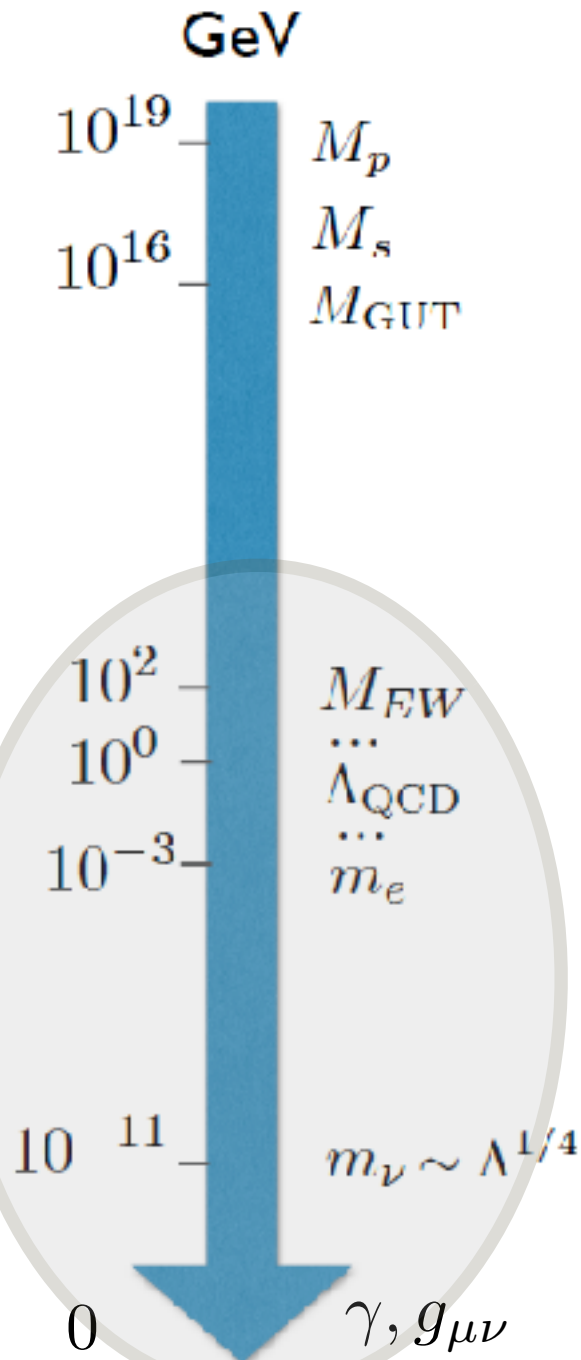
L.I, Martin-Lozano, Valenzuela 2017

$$\Lambda_4 \gtrsim m_\nu^4$$

Explains coincidence!!

First particle physics argument for a non-vanishing c.c.  
(independent of cosmology)

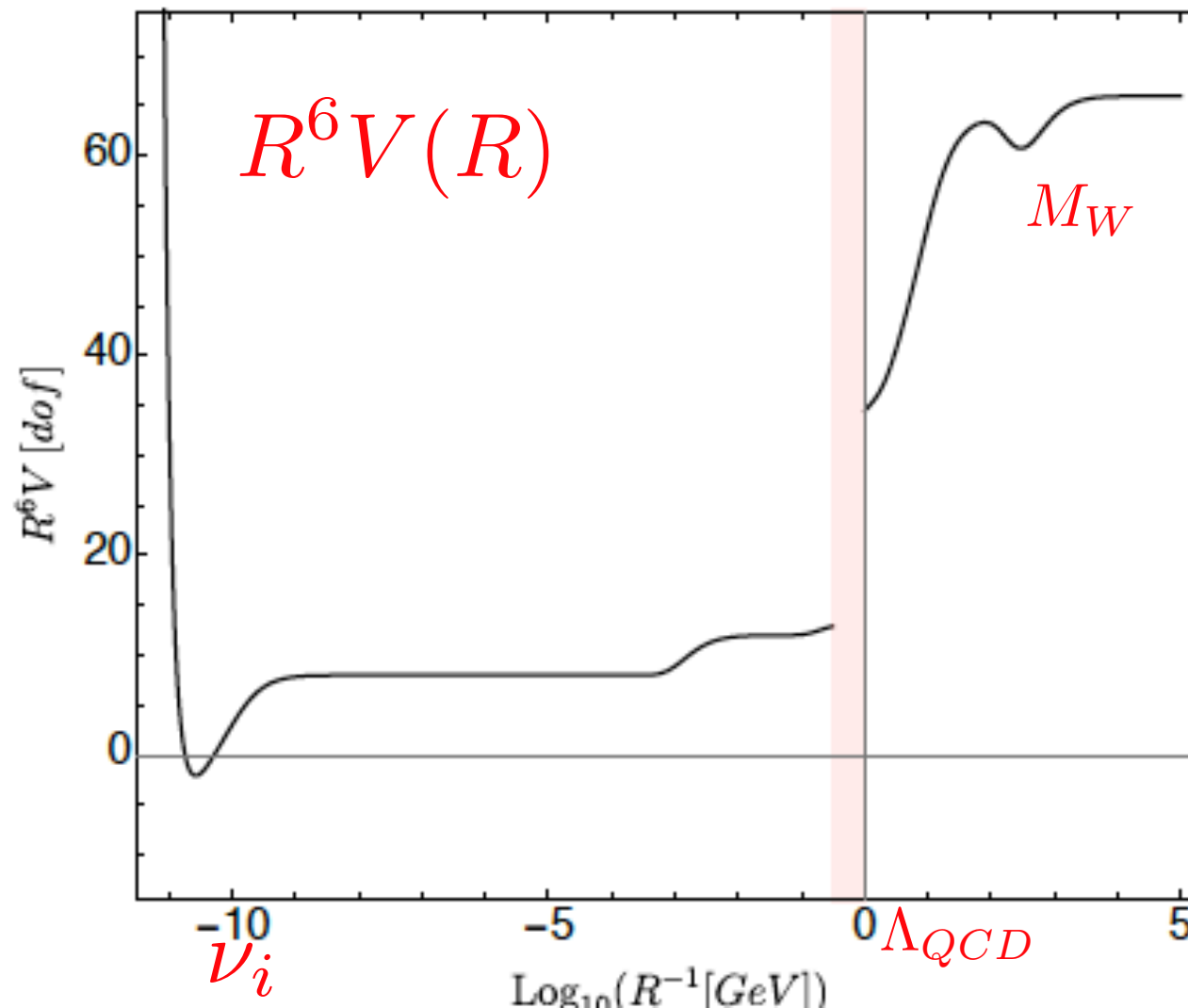
# Scales in Fundamental Physics



Explore now the  
radius potential for

$$R \ll 1/m_e$$

# The 3D SM at smaller radius $R$



(Normalized  
to d.o.f.)

Large  $R$

Small  $R$

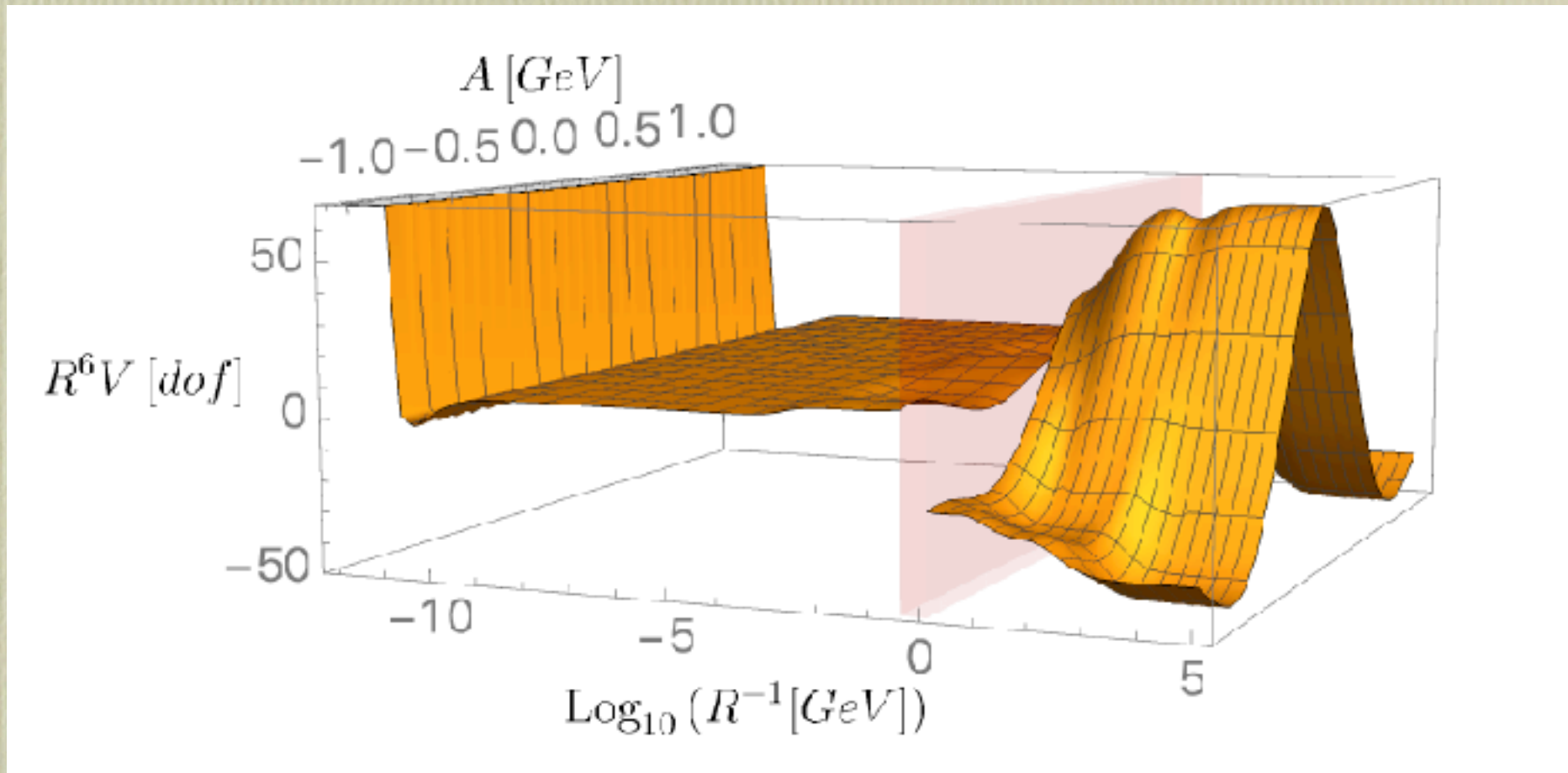
Hamada, Shiu 2017

E. Gonzalo, A. Herrera, L.I. 2018

No new minima

....but photon Wilson line degree of freedom....

Hamada, Shiu 2017



....make (??) unstable the potential: OK with WGC but lose predictions!!

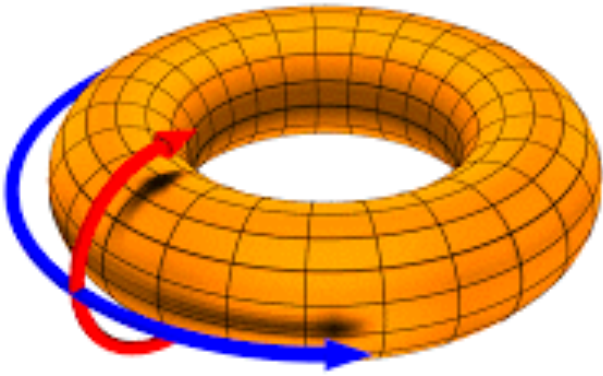
Conservative: search for new SM vacua with no Wilson line d.o.f.

➡  $SM \text{ on } 2D : T^2/Z_N$

E. Gonzalo, A. Herraez, L.I. 2018

Simplest:

# New 2D SM vacua on $T^2/Z_4$



Project under  $\pi/2$  rotations

$$y_1 \rightarrow -y_2$$

$$y_2 \rightarrow y_1$$

$$\phi(x_i, y_1, y_2) = e^{iq\alpha} \phi(x_i, -y_2, y_1)$$

$$\psi(x_i, y_1, y_2) = e^{iq\alpha} e^{i\frac{\pi}{4}\sigma_3} \psi(x, -y_2, y_1)$$

Only 2D surviving  
scalar is the torus area  
(and the Higgs)

$$e^{iq\alpha} = SM \text{ gauge rotation}$$

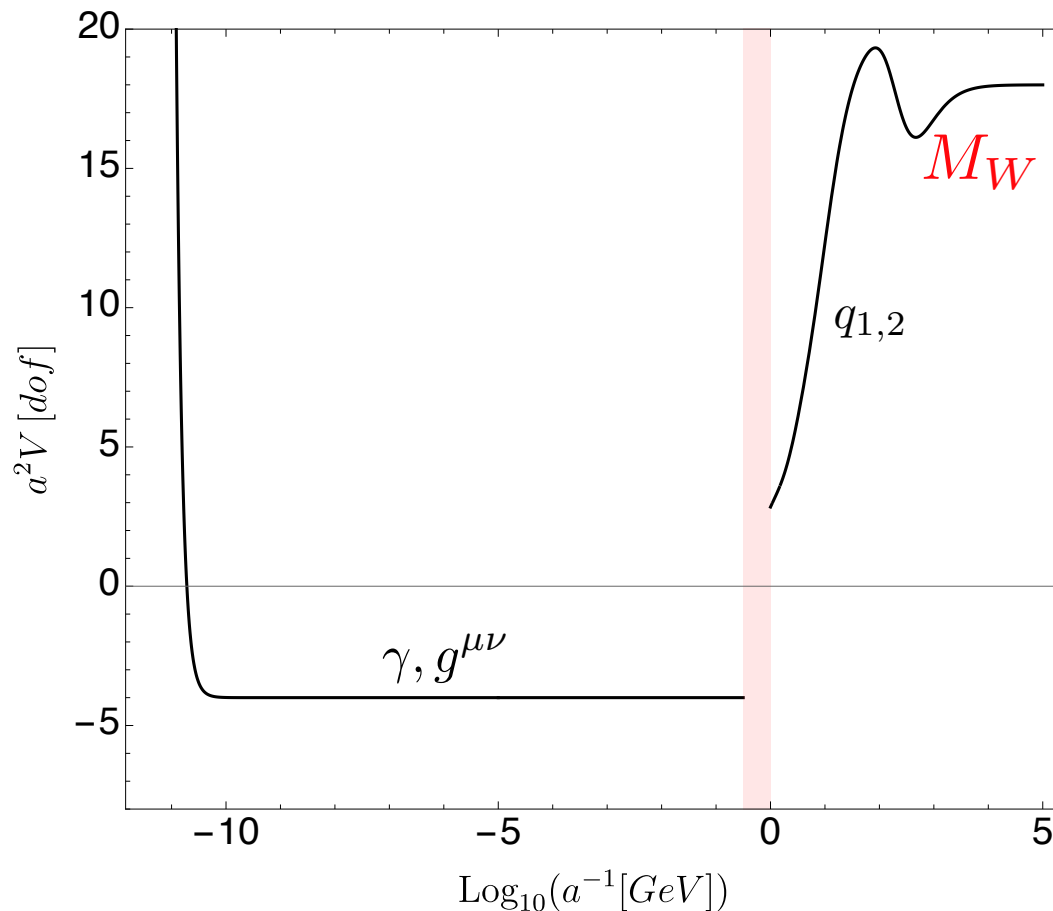
(Needed to get constraining compactifications)

# A dangerous vacuum for the SM

Chose gauge action inside SU(3):

$$\Psi(x_i, y_1, y_2) = e^{i(q_3 T_3 + q_8 T_8)} e^{i\frac{\pi}{4} \sigma_3} \psi(x, -y_2, y_1)$$

The Casimir potential depends then only on 2 colours of quarks, gauge and Higgs bosons (NO leptons)



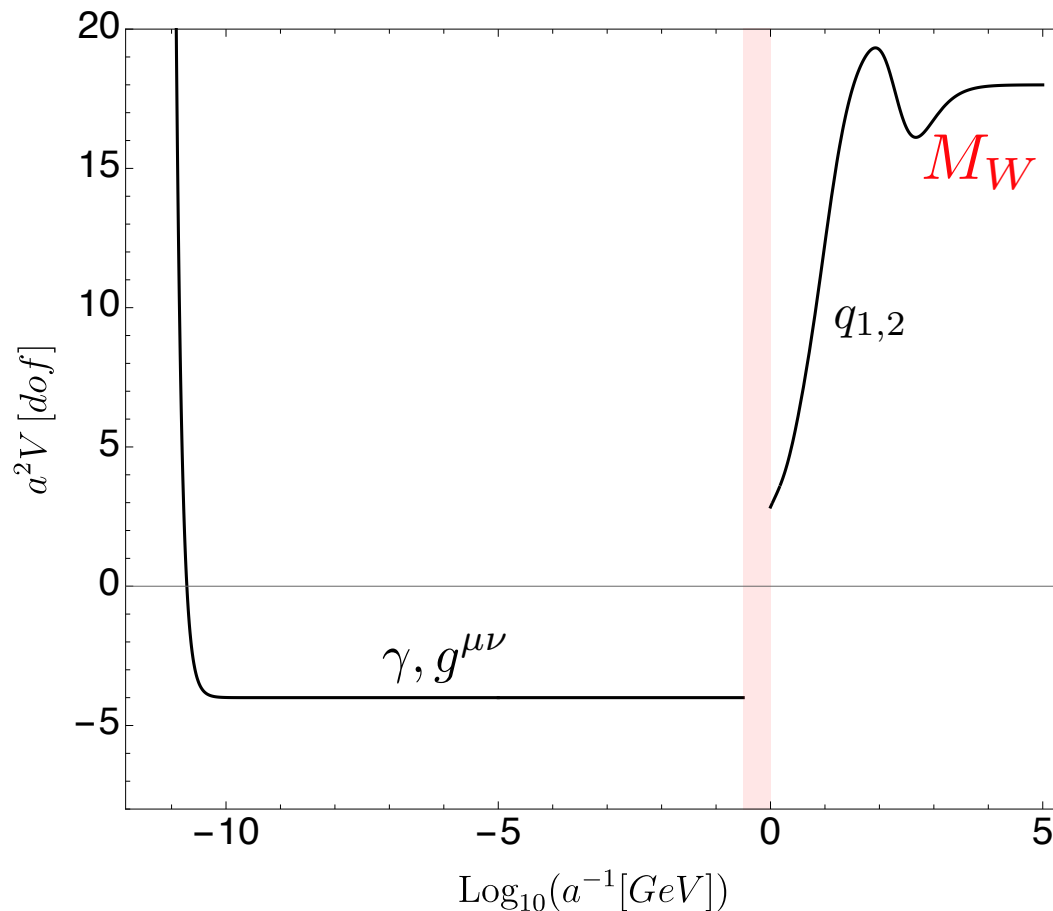
↓  
AdS minimum appears,  
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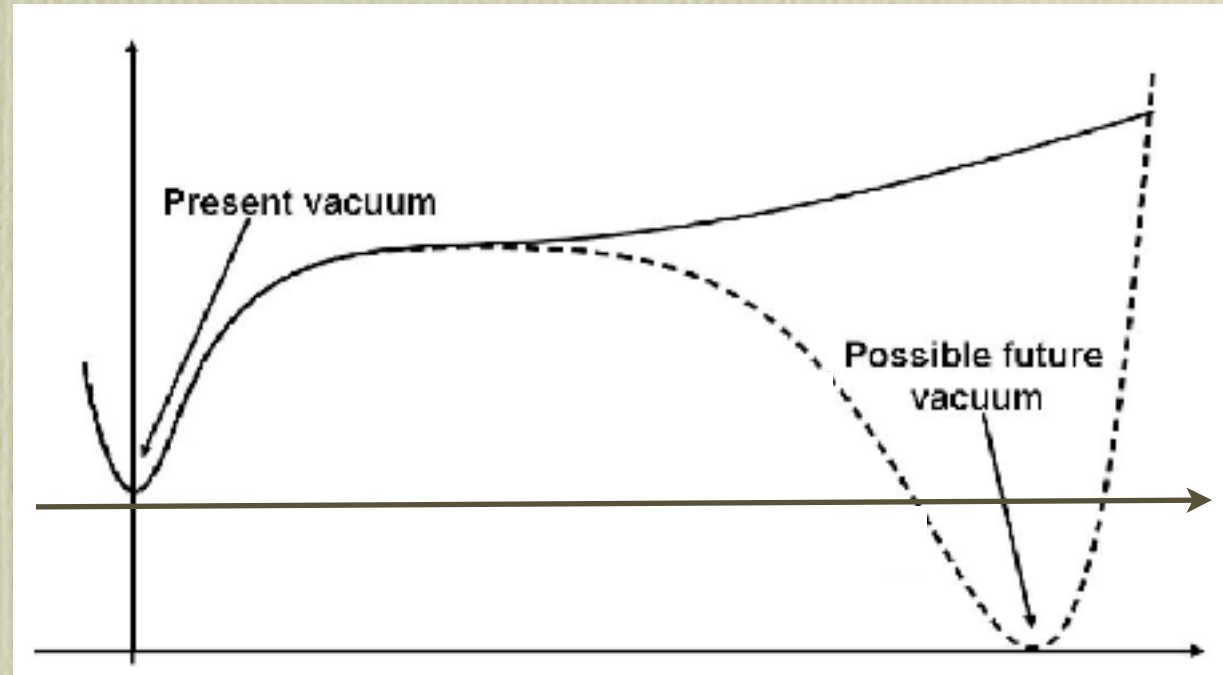
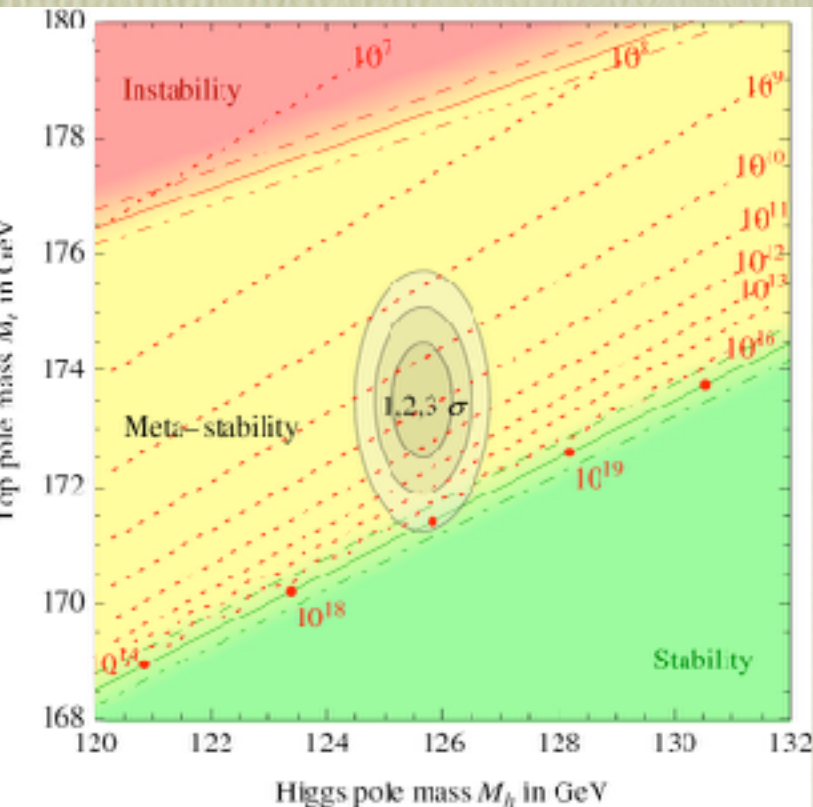


AdS minimum appears,  
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**SM IN  
SWAMPLAND!!**

In fact we already knew that the SM in 4D  
may have AdS vacua:

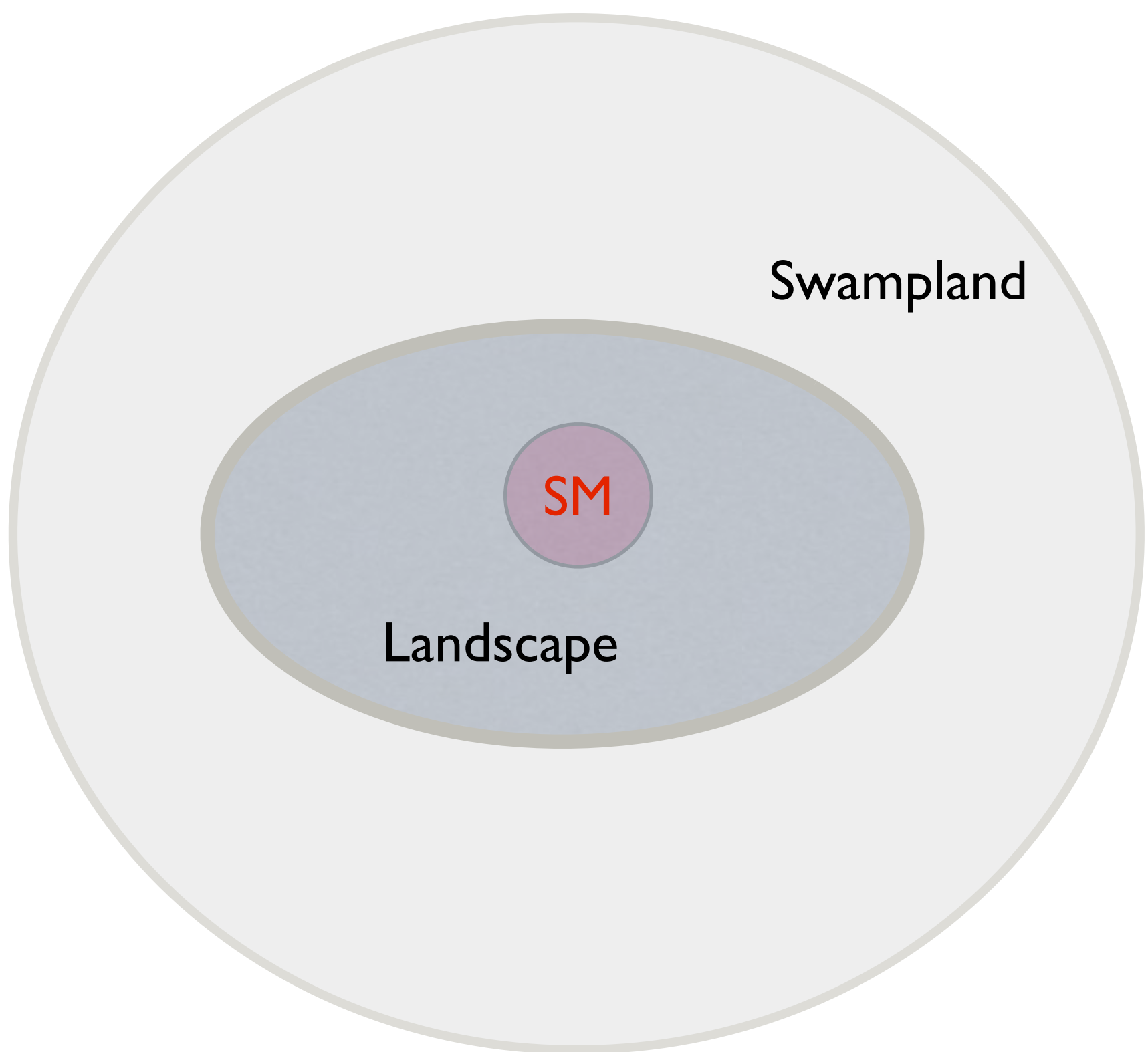
The SM may have a second high scale minimum:

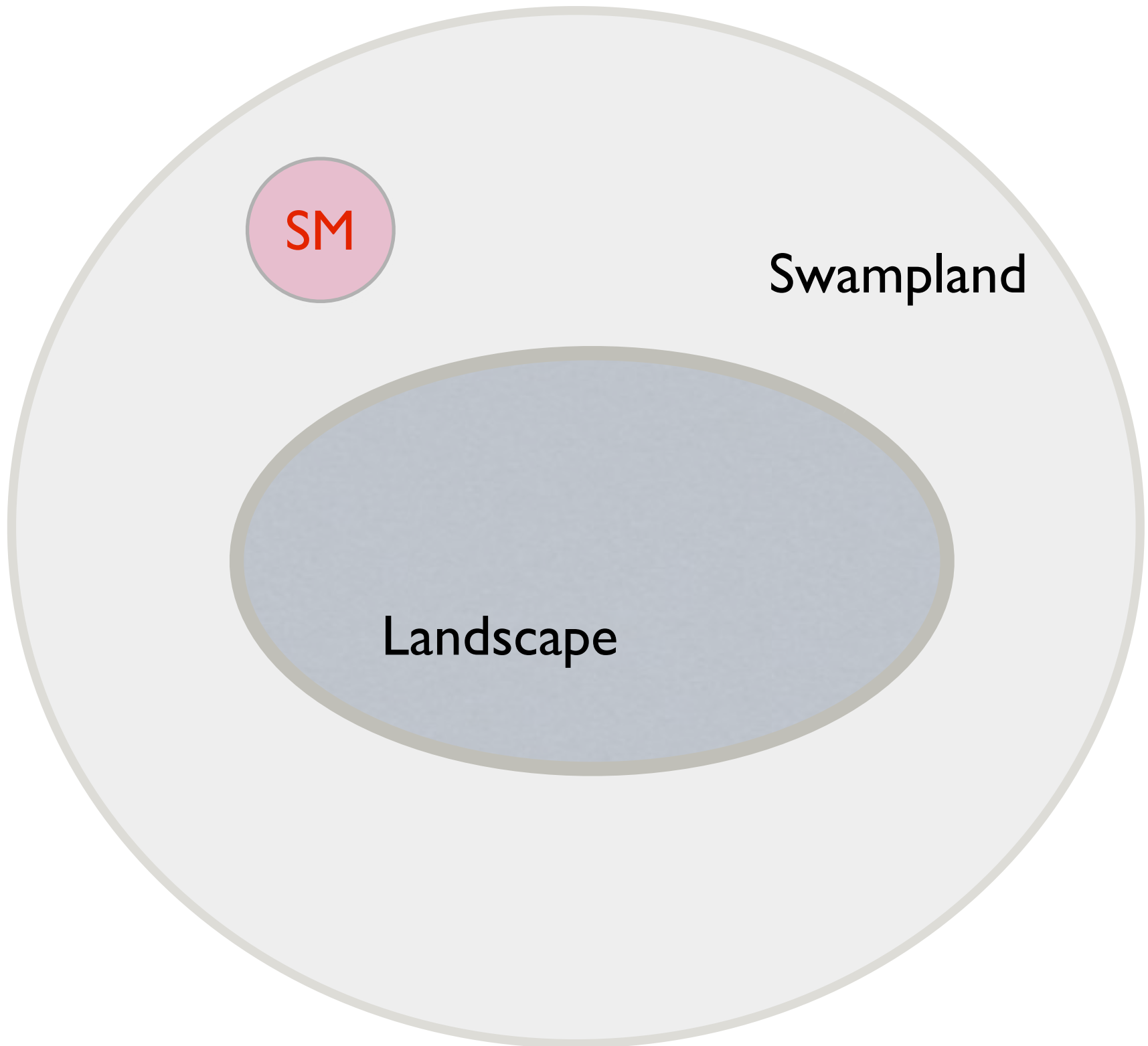


$10^{10-12} \text{ GeV}$

*Degrassi et al. 2013*

SM in swampland unless.... **NEW PHYSICS**

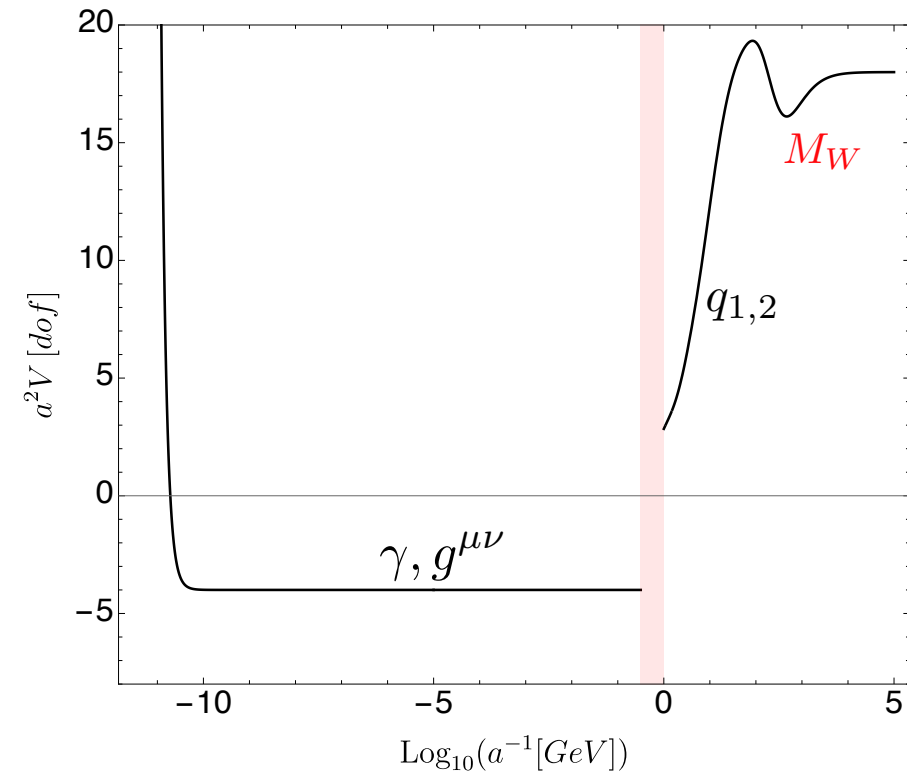




# Does SUSY SM do any better?

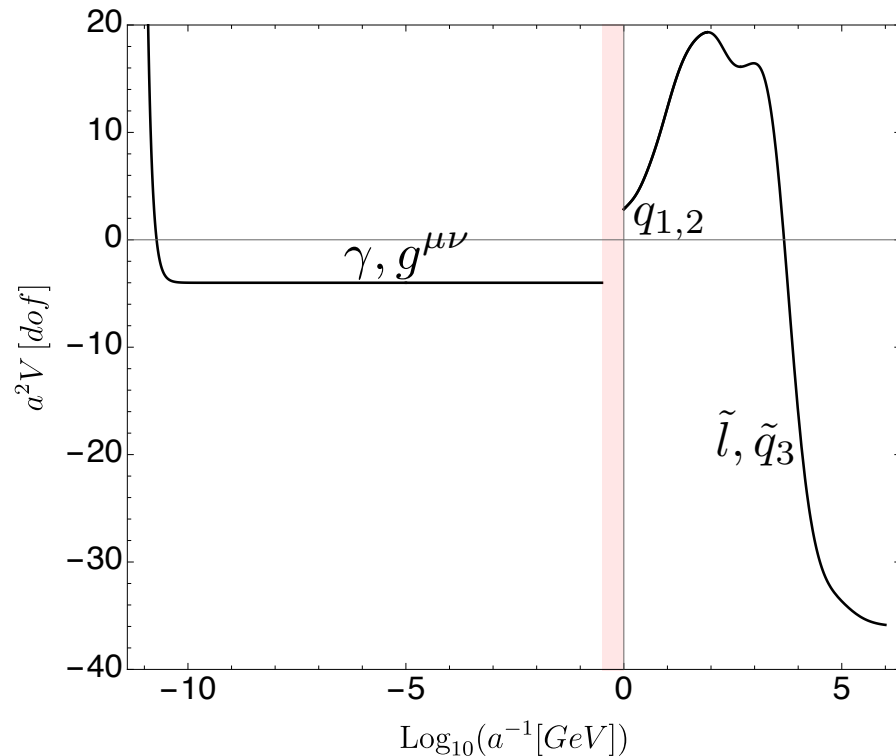
*Same  $T^2/Z_4$  compactification*

## SM



AdS minimum forms

## MSSM

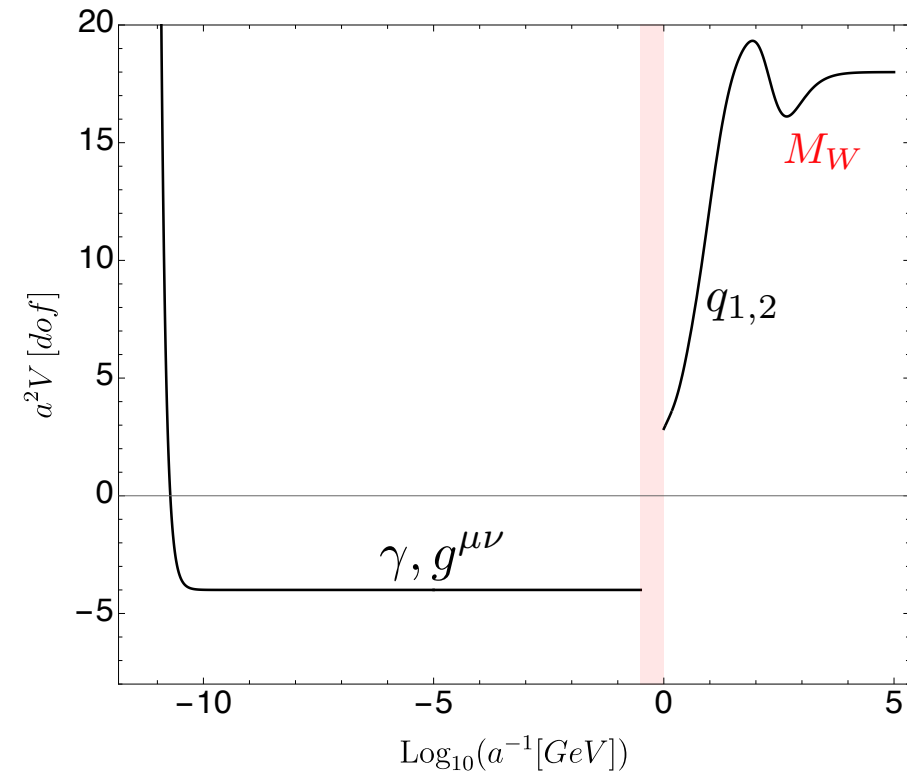


AdS minimum unstable  
Due to (negative) contribution  
of sleptons and some squarks

# Does SUSY SM do any better?

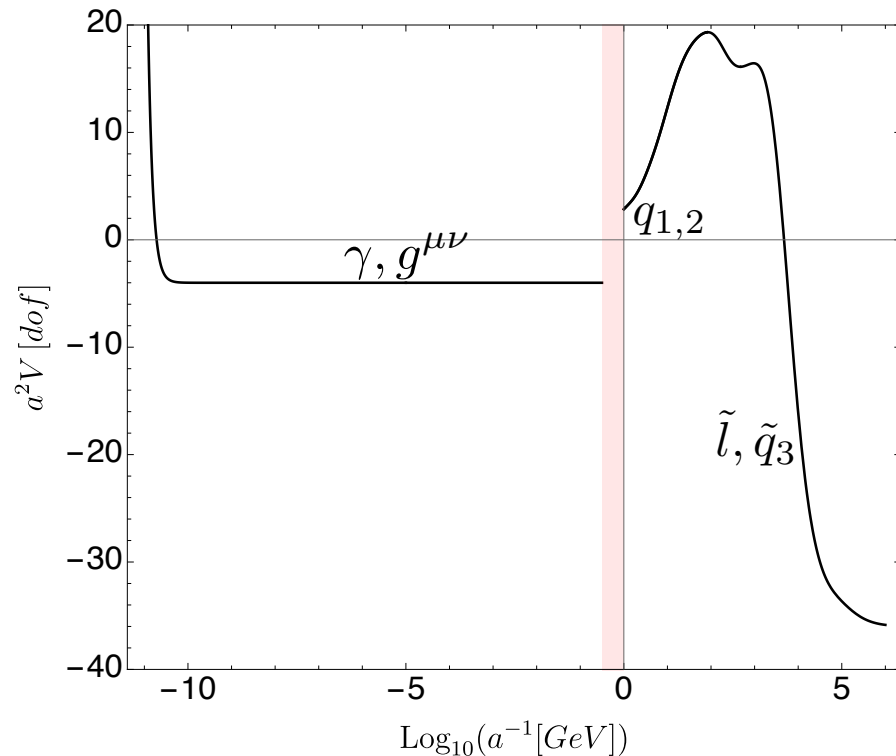
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## SM



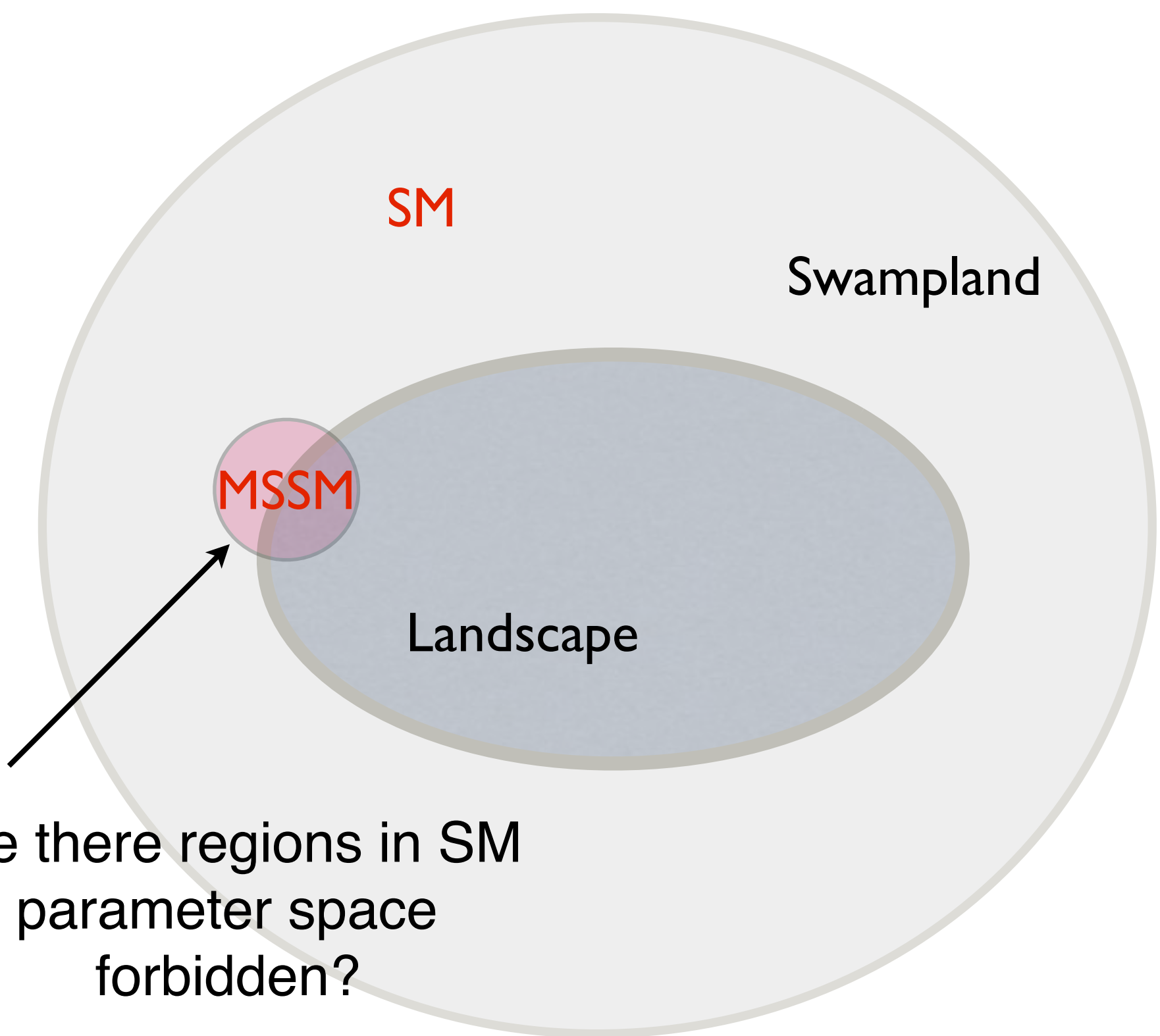
AdS minimum forms

## MSSM



AdS minimum unstable  
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## The SUSY SM survives the test

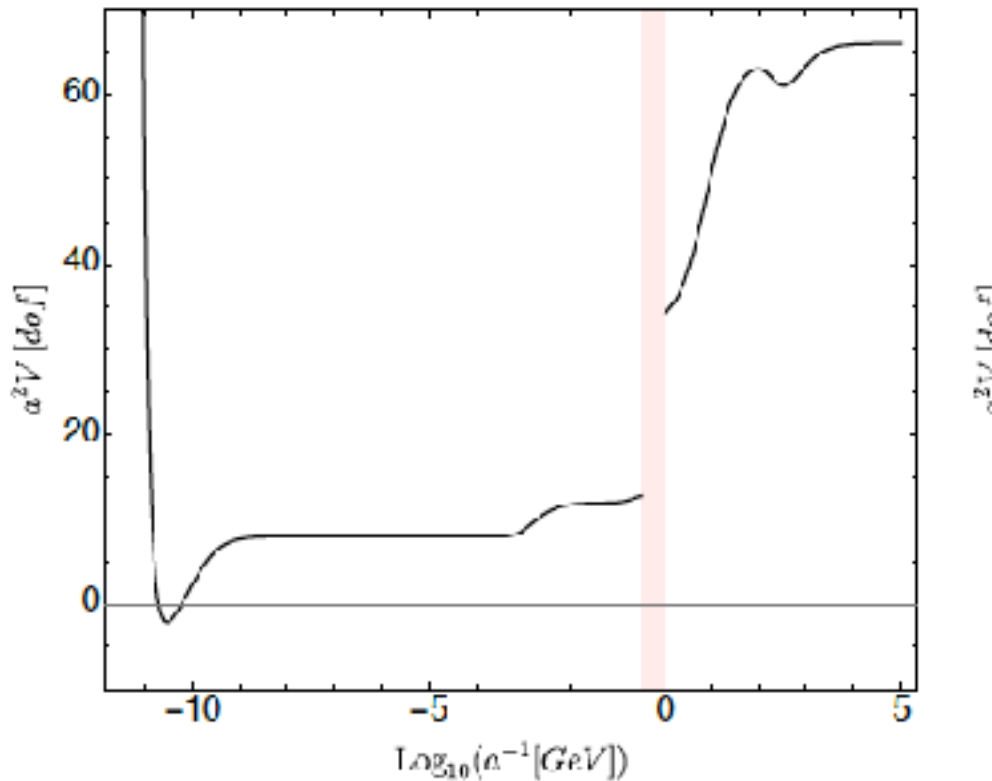


# Low energy predictions and B-L

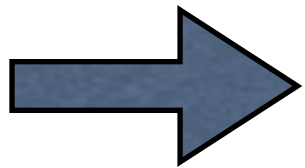
If the MSSM includes a discrete gauge subgroup of  $U(1)_{B-L}$

$$\Psi(x_i, y_1, y_2) = e^{\frac{i}{8} Q_{B-L}} e^{i \frac{\pi}{4} \sigma_3} \psi(x, -y_2, y_1)$$

E.Gonzalo,A.Herraez,L.I. 2018



Predictions from  
absence of neutrino  
vacua recovered



Suggests MSSM comes along with a  $U(1)_{B-L}$   
(at some scale)

# Hierarchy Problem, Naturality and the Swampland



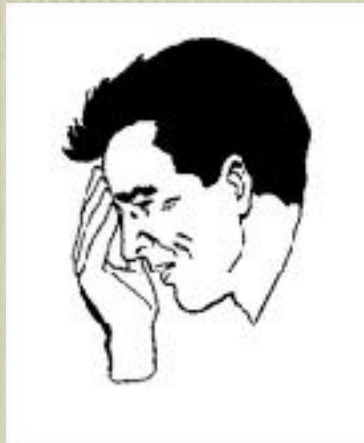
# A hint that WGC is at odds with naturally

A U(1) coupled to a charged **scalar**

$$m^2 \leq g^2 M_p^2$$

BUT:  $m$  diverges **quadratically** and  $g$  **logarithmically**!

**Bizarre....**



Cheung, Remmen 2014

# SM without a Higgs is in the Swampland

No fermion masses

*Below  $\Lambda_{QCD}$  :*

$$U(6)_L \times U(6)_R \longrightarrow U(6)_{L+R}$$

*36 Godstones  $- 3 - 1 = 32$  massless scalars*  
*6 massless leptons  $\rightarrow 24$  fermionic d.o.f.*

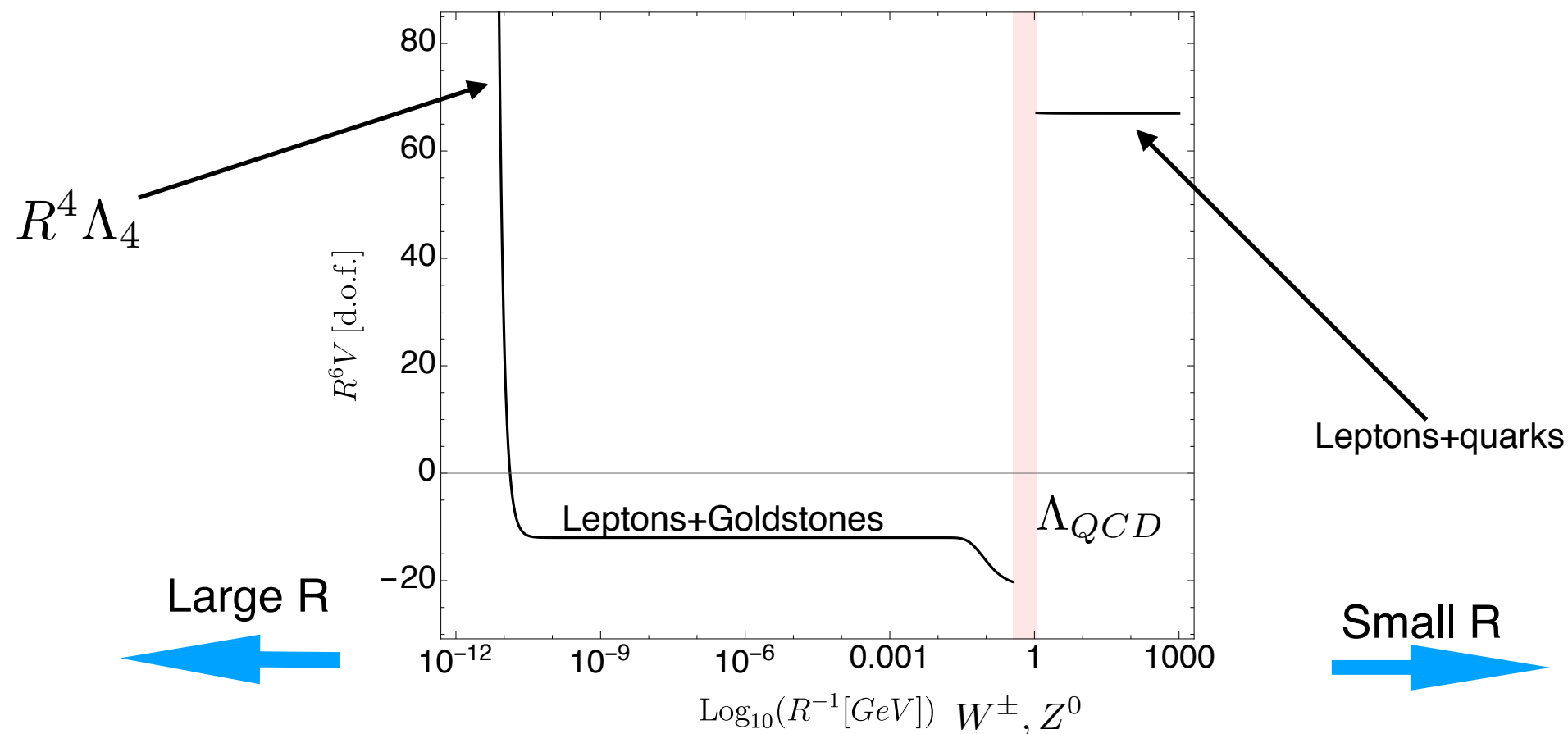
$$n_B - n_F = (32 + 2 + 2) - 24 = 12$$

3D: 

- If they all contribute to the Casimir energy,  
An AdS vacuum necessarily develops!

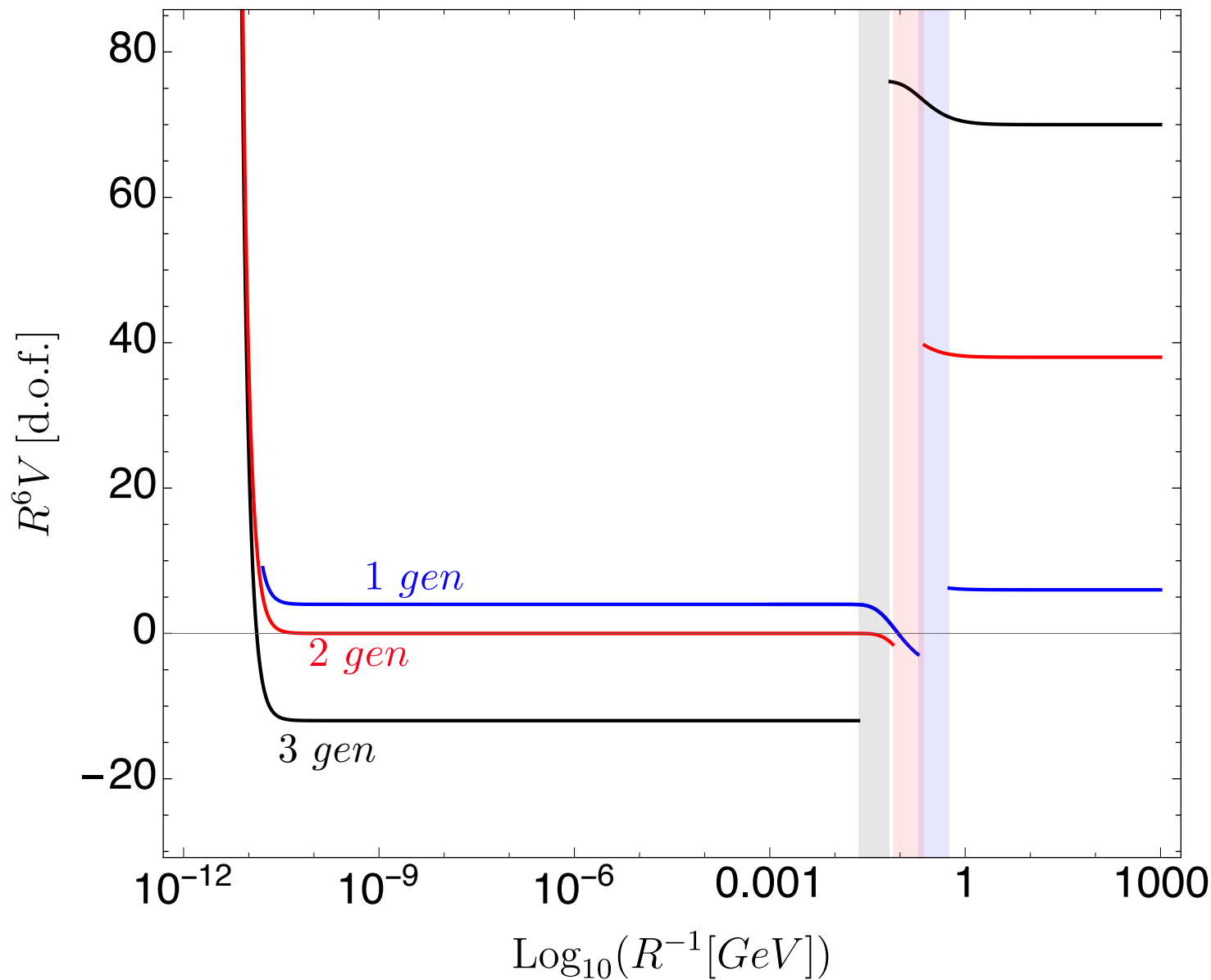
3D

# SM without a Higgs



An AdS minimum forms

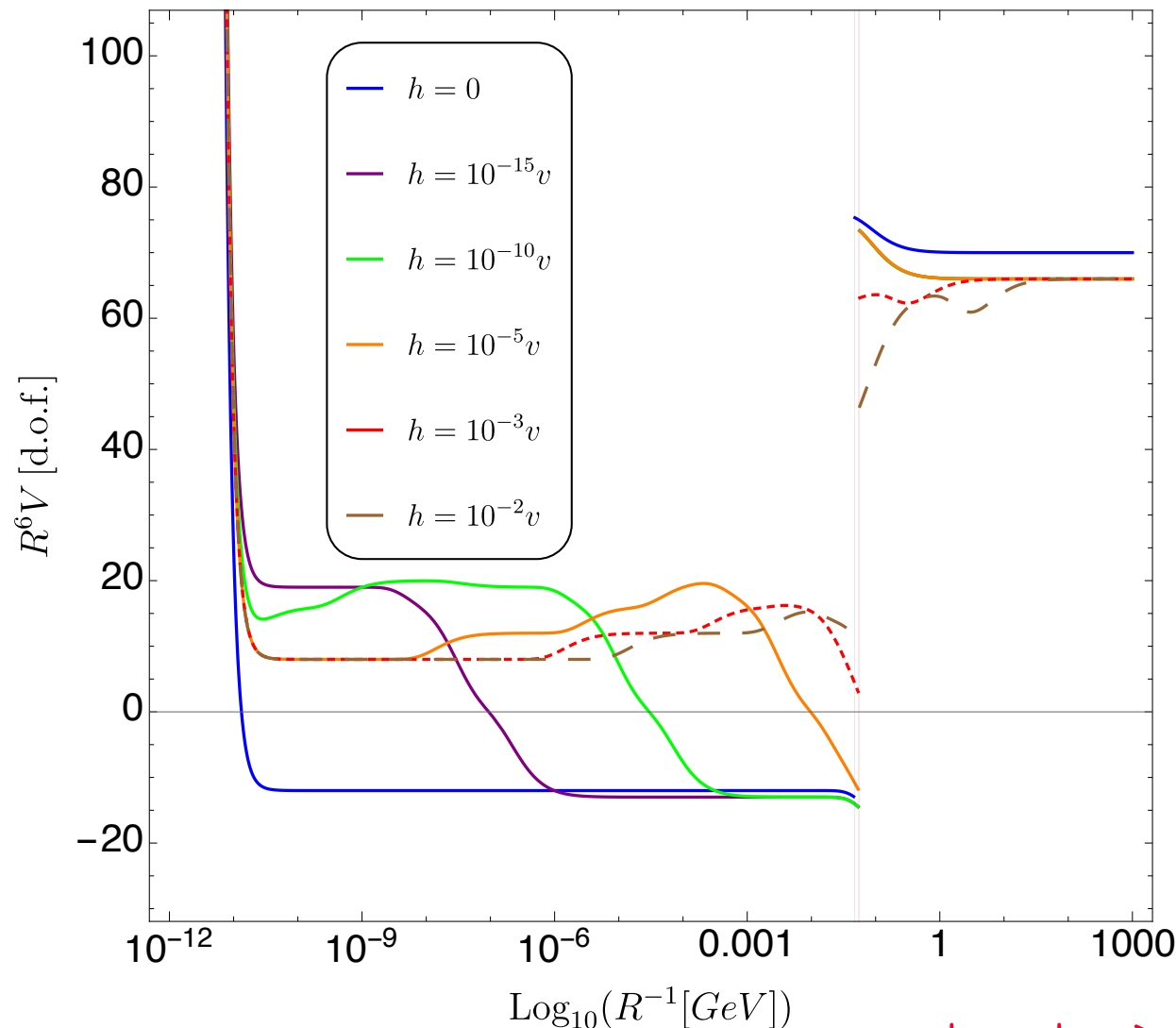
Higgs is needed!!



Higgs is needed...if the number of generations is 3 or more

# Lower bound on Higgs vev

As we turn the Higgs vev on, with SM Yukawa fixed,  
the **goldstones** start becoming heavy: fewer bosons



$$h = \langle H \rangle$$

*To avoid AdS vacua :*

$$|H| \gtrsim \Lambda_{\text{QCD}}$$

# Hierarchy problem and the swampland

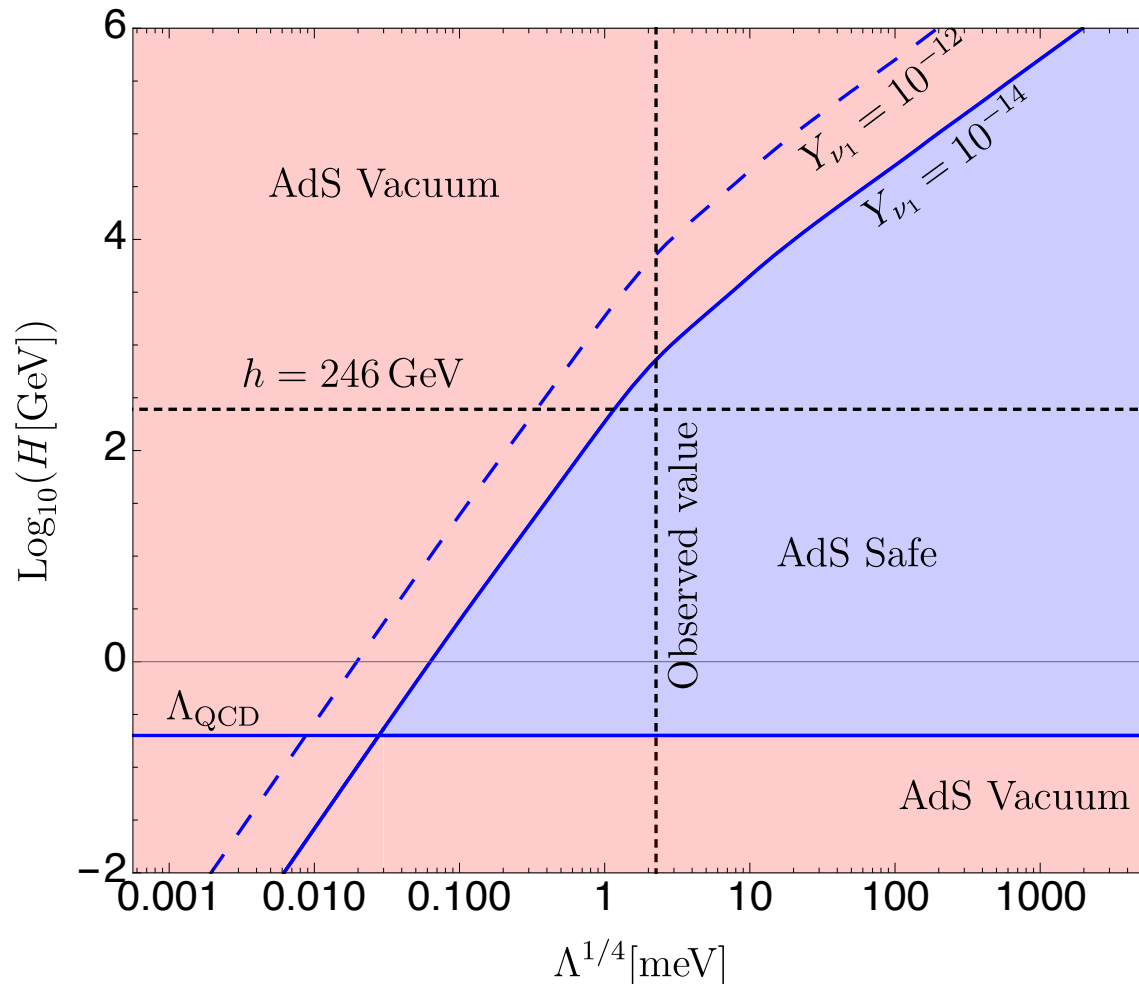
Dirac neutrinos(NH):

$$m_{\nu_1} = Y_\nu \langle H \rangle$$

$$m_{\nu_1} \lesssim 4.12 \times 10^{-3} eV = 1.6 \Lambda_4^{1/4}$$

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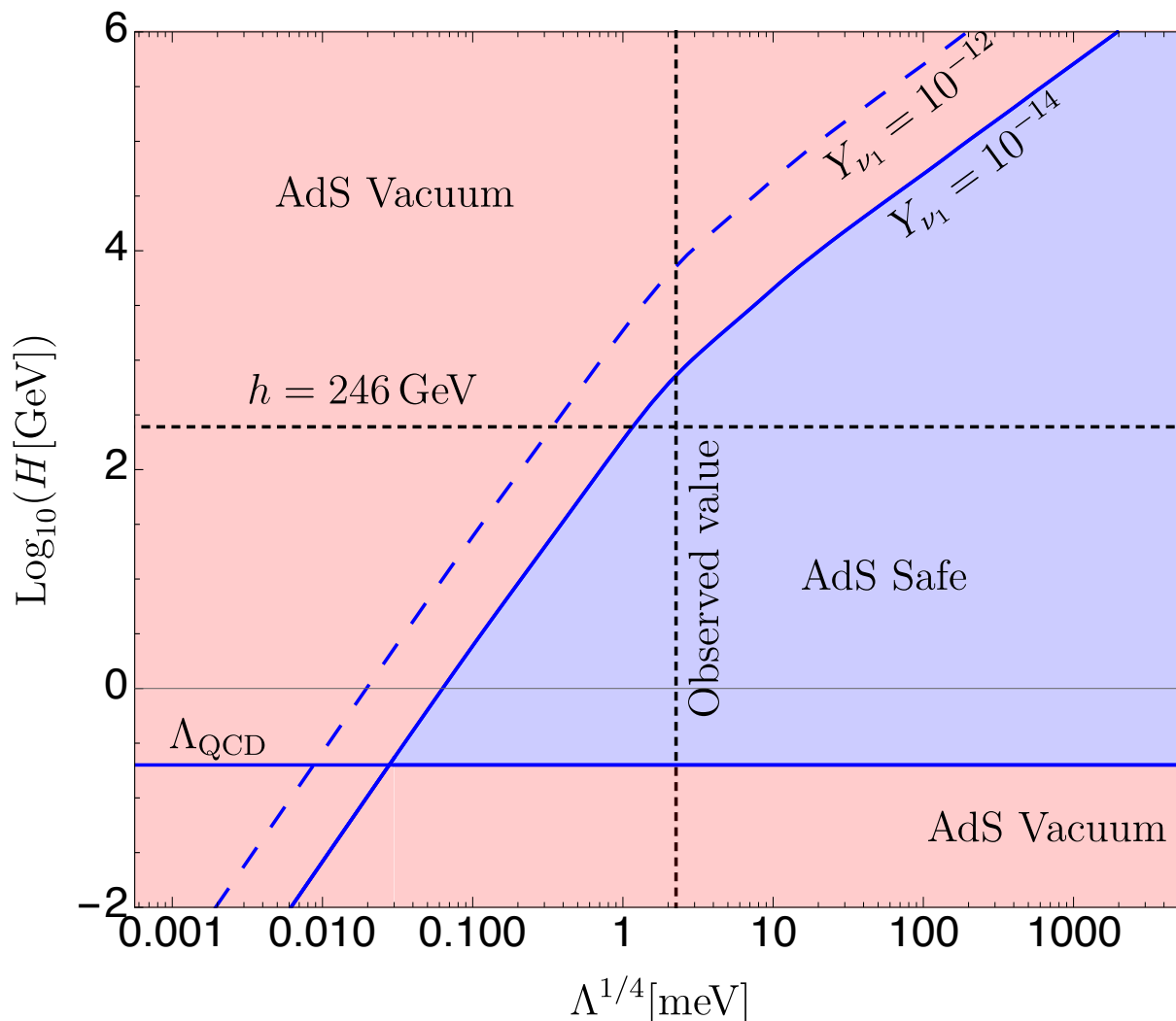
$$\langle H \rangle \lesssim 1.6 \frac{\Lambda_4^{1/4}}{Y_\nu}$$

EW scales above 1 TeV  
in the Swampland!!

(For fixed  $Y_\nu$   
and  $\Lambda_4$ )

# Hierarchy problem and the swampland

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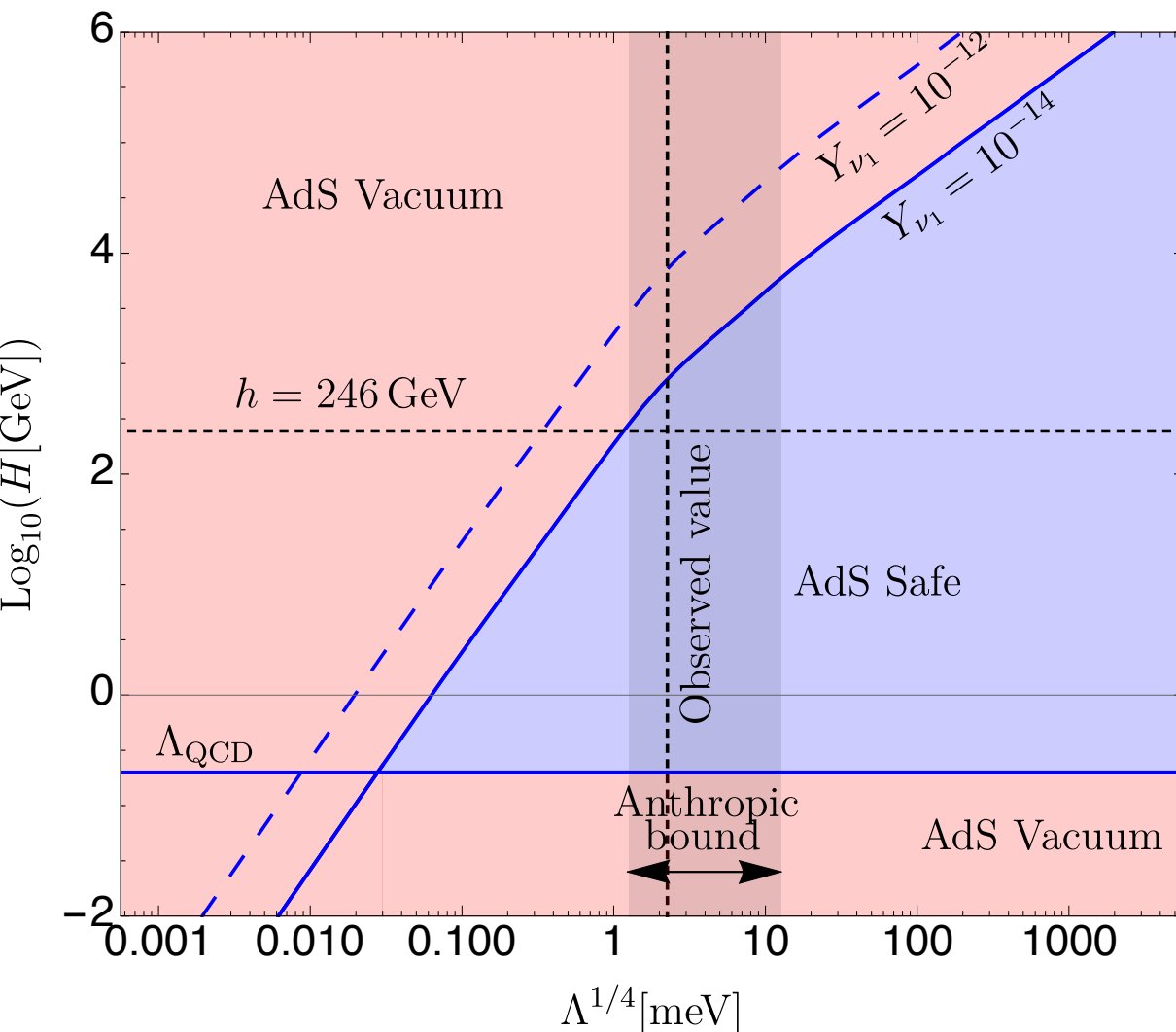
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*EW scale tied up to  $\Lambda_4$*

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$$H_{ex} + \Delta H \leq \frac{a\Lambda_4^{1/4}}{h_{\nu_1}}$$

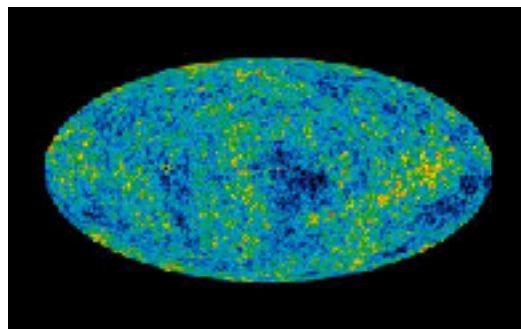


$$\frac{|\Delta H|}{|H|} \leq \frac{(a\Lambda_4^{1/4} - m_{\nu_1})}{m_{\nu_1}}$$

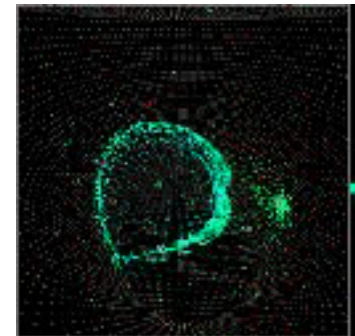
EW fine-tuning is related to the proximity between neutrino masses and the c.c.!



EW fine-tuning



Dark Energy



Neutrino Physics

$$\frac{|\Delta H|}{|H|} \leq \frac{(a\Lambda_4^{1/4} - m_{\nu_1})}{m_{\nu_1}}$$

EW fine-tuning is related to the proximity between neutrino masses and the c.c.!

- The EW stability may be explained by the requirement of no AdS neutrino vacua
- However in addition SUSY is required to avoid additional AdS vacua
- Unfortunately the scale of SUSY is not determined by AdS phobia
- Avoiding charge/colour-breaking (AdS) minima suggests a not too light SUSY spectrum

# Summary of Q.G. Constraints

- 1) Bounds on neutrino masses: no Majorana masses (unless new physics). For Dirac (or pseudo) neutrinos:

$$m_{\nu_1} \leq 4.1 \times 10^{-3} eV \text{ (NH)} ; m_{\nu_3} \leq 1.0 \times 10^{-3} eV \text{ (IH)}$$

- 2) Lower bound on the cosmological constant

$$\Lambda_4 \gtrsim m_\nu^4$$

First argument for non-vanishing  $\Lambda_4$  purely on the basis of Particle Physics

- 3) A Higgs-less SM would be in the swampland and

$$| \langle H \rangle | \gtrsim \Lambda_{QCD} \quad (if \ n_{gen} \geq 3)$$

- 4) Bounds on neutrino masses imply upper bound on the EW scale

$$\frac{|\Delta H|}{|H|} \leq \frac{(a\Lambda_4^{1/4} - m_{\nu_1})}{m_{\nu_1}}$$

- If true, this would redefine our notion of fine-tuning of the EW scale: So talking about naturalness makes sense only within the class of allowed theories

The EW scale and the c.c. scales are tied up BUT:

- 5) SM requires necessarily an extension.
- 6) A natural extension surviving all tests so far is SUSY
- 7) A MSSM extension including  $U(1)_{B-L}$  is favored: extra  $Z^{0'}$

# Conclusions

- 1) Quantum gravity constraints effective field theories
- 2) A number of conjectures exist on those constraints. The strongest support comes from no counterexamples in String Theory
- 3) In particular, AdS-phobia OV conjecture states that no consistent non-SUSY, stable, AdS vacua can exist.

- 4) When applied to SM compactifications to 3D and 2D a number of constraints appears

It is **surprising** how a **rather abstract** condition like absence of non-SUSY AdS vacua is able to yield reasonable (not wild) **constraints on actual Physics**

# Outlook

- Need to understand better the theoretical basis of the swampland conjectures
- Understand better the stability of the vacua
- Explore further SM vacua and constraints
- Can we say something about scale of SUSY?
- Study implications on our views on naturalness. The EW fine-tuning could be a mirage!!

*Thank you !!*



*Instituto de Física Teórica UAM-CSIC presents:*

# Vistas over the Swampland

*Madrid, 19-21 September 2018*

<https://workshops.ift.uam-csic.es/swampland>

## Swamp lookouts

N. Arkani-Hamed (IAS - Princeton)  
T. Banks (Santa Cruz & Rutgers U.)  
R. Blumenhagen (MPI - Munich)  
T. Crisford (DAMTP - Cambridge)  
U. Danielsson (Uppsala U.)  
A. Hebecker (Heidelberg U.)  
M. Kleban (New York U.)  
D. Lüst (LMU & MPI - Munich)  
M. Montero (ITP - Utrecht)  
E. Palti (MPI - Munich)  
M. Reece (Harvard U.)  
G. Remmen (UC - Berkeley)  
T. Rudelius (IAS - Princeton)  
G. Shiu (UW - Madison)  
P. Soler (Heidelberg U.)  
C. Vafa (Harvard U.)  
I. Valenzuela (ITP - Utrecht)  
T. Van Riet (KU Leuven)



## Swamp rangers

L. E. Ibáñez  
F. Marchesano  
A. M. Uranga

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